

USACE / NAVFAC / AFCESA / NASA

UFGS-01 45 00.00 20 (February 2010)

Preparing Activity: NAVFAC

**Superseding**

UFGS-01 45 02 (November 2009)

References are in agreement with UMRL dated October 2010

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### 3.1 PREPARATION

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## UNIFIED FACILITIES GUIDE SPECIFICATIONS

References are in agreement with UMRL dated October 2010

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### SECTION 01 45 00.00 20

#### QUALITY CONTROL 02/10

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NOTE: This guide specification covers the preparation and use of Design-Bid-Build (DBB) Quality Control. This guide specification will normally be used for Category One and Category Two projects. It may be also used for smaller, complex projects at the discretion of the Government. This section requires specific editing of the QC requirements. This section, as edited, must be reviewed and approved by the Administering ROICC Office prior to the 100 percent design submission.

Edit this guide specification for project specific requirements by adding, deleting, or revising text. For bracketed items, choose applicable items(s) or insert appropriate information.

Remove information and requirements not required in respective project, whether or not brackets are present.

Comments, suggestions and recommended changes for this guide specification are welcome and should be submitted as a [Criteria Change Request \(CCR\)](#).

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NOTE: When this specification is used, it will be in conjunction with Section 01 32 17.00 20 NETWORK ANALYSIS SCHEDULES (NAS).

Additional QC requirements may be included in additional sections of the project. Some of the sections that include QC requirements are: Section 09 97 13.15 EPOXY/FLUOROPOLYURETHANE INTERIOR COATING OF WELDED STEEL PETROLEUM FUEL TANKS, Section 09 97 13.17 THREE COAT EPOXY INTERIOR COATING OF WELDED STEEL PETROLEUM FUEL TANKS, Section 09 97 13.16 INTERIOR COATING OF WELDED STEEL WATER TANKS, and Section 09 97 13.27 EXTERIOR COATING OF STEEL STRUCTURES.

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NOTE: Two options for the QC Manager duties have been incorporated into this guide specification. The first option allows the QC Manager to perform production related duties and the second option does not. Both options can include the use of QC Specialists responsible for performing QC for specific areas of work and for a specified frequency. Specify QC Specialists for those areas of work that are of sufficient complexity or size to justify the expense.

Determine whether a full time QC Manager is justified or designate the QC Manager as the Project Superintendent, i.e. to act in a dual role. If the QC Manager and Project Superintendent positions are being filled as a dual role, that person shall not be utilized as or the Commissioning Authority. Consider:

- a. Design and complexity of project.
- b. Location of project.
- c. Cost and type of Contract.
- d. Characteristics of area construction labor market.
- e. Amount and type of off-site fabrication.
- f. Duration of project.

When requiring the use of a Registered Professional Engineer/Architect or a graduate Engineer/Architect for the QC Manager or QC Specialist(s), keep in mind the additional cost. The over-specifying of expertise for QC personnel should be avoided.

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NOTE: This section has a corresponding graphic called "quality\_control\_reports.pdf."

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NOTE: TO DOWNLOAD UFGS GRAPHICS

Go to <http://www.wbdg.org/ccb/NAVGRAPH/graphdoc.pdf>.

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## PART 1 GENERAL

### 1.1 REFERENCES

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NOTE: This paragraph is used to list the publications cited in the text of the guide

specification. The publications are referred to in the text by basic designation only and listed in this paragraph by organization, designation, date, and title.

Use the Reference Wizard's Check Reference feature when you add a RID outside of the Section's Reference Article to automatically place the reference in the Reference Article. Also use the Reference Wizard's Check Reference feature to update the issue dates.

References not used in the text will automatically be deleted from this section of the project specification when you choose to reconcile references in the publish print process.

\*\*\*\*\*

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)

ASHRAE 52.2 (2007; Addenda b 2008; Errata 2010) Method of Testing General Ventilation Air-Cleaning Devices for Removal Efficiency by Particle Size

ASTM INTERNATIONAL (ASTM)

ASTM D 6245 (2007) Using Indoor Carbon Dioxide Concentrations to Evaluate Indoor Air Quality and Ventilation

ASTM D 6345 (2010) Selection of Methods for Active, Integrative Sampling of Volatile Organic Compounds in Air

SHEET METAL AND AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)

ANSI/SMACNA 008 (2008) IAQ Guidelines for Occupied Buildings Under Construction, 2nd Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (2008; Change 1-2010; Change 3-2010; Errata 1-2010) Safety and Health Requirements Manual

U.S. GREEN BUILDING COUNCIL (USGBC)

LEED (2002; R 2005) Leadership in Energy and Environmental Design(tm) Green Building Rating System for New Construction (LEED-NC)

## 1.2 SUBMITTALS

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NOTE: Review Submittal Description (SD) definitions in Section 01 33 00 SUBMITTAL PROCEDURES and edit the following list to reflect only the submittals required for the project. Submittals should be kept to the minimum required for adequate quality control.

A "G" following a submittal item indicates that the submittal requires Government approval. Some submittals are already marked with a "G". Only delete an existing "G" if the submittal item is not complex and can be reviewed through the Contractor's Quality Control system. Only add a "G" if the submittal is sufficiently important or complex in context of the project.

For submittals requiring Government approval on Army projects, a code of up to three characters within the submittal tags may be used following the "G" designation to indicate the approving authority. Codes for Army projects using the Resident Management System (RMS) are: "AE" for Architect-Engineer; "DO" for District Office (Engineering Division or other organization in the District Office); "AO" for Area Office; "RO" for Resident Office; and "PO" for Project Office. Codes following the "G" typically are not used for Navy, Air Force, and NASA projects.

Choose the first bracketed item for Navy, Air Force and NASA projects, or choose the second bracketed item for Army projects.

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Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are [for Contractor Quality Control approval.] [for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government.] Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES

## SD-01 Preconstruction Submittals

Construction Quality Control (QC) Plan[; G][; G, [\_\_\_\_]]

\*\*\*\*\*

NOTE: Coordinate the submittal requirement dates with the submittal dates in sections entitled Network Analysis Schedules.

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Submit a Construction QC Plan prior to start of construction.

Indoor Air Quality (IAQ) Management Plan; G

## Basis of Design and Design Intent

### SD-05 Design Data

[ Design Review]

### SD-07 Certificates

#### CA Resume

### SD-10 Operation and Maintenance Data

#### Training Plan

For each training session submit dates, start and finish times, and locations; outline of the information to be presented; names and qualifications of the presenters; and list of texts and other materials required to support training.

### SD-11 Closeout Submittals

Training Outline[; G][; G, [\_\_\_\_]]

Training Video Recording[; G][; G, [\_\_\_\_]]

Validation of Training Completion[; G][; G, [\_\_\_\_]]

## 1.3 INFORMATION FOR THE CONTRACTING OFFICER

Prior to commencing work on construction, the Contractor can obtain a single copy set of the current report forms from the Contracting Officer. The report forms will consist of the Contractor Production Report, Contractor Production Report (Continuation Sheet), Contractor Quality Control (CQC) Report, (CQC) Report (Continuation Sheet), Preparatory Phase Checklist, Initial Phase Checklist, Rework Items List, and Testing Plan and Log.

Deliver the following to the Contracting Officer during Construction:

\*\*\*\*\*  
**NOTE: Delete the requirement for QC Specialist reports when QC Specialists are not specified.**  
\*\*\*\*\*

- a. CQC Report: Mail or hand-carry the original (wet signatures) [and one copy][and [\_\_\_\_] copies] by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.
- b. Contractor Production Report: [Submit the report electronically by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work.] [Mail or hand-carry the original (wet signatures) [and one copy][and [\_\_\_\_] copies] by 10:00 AM the next working day after each day that work is performed and for every seven consecutive calendar days of no-work, attached to the CQC Report.]
- c. Preparatory Phase Checklist: [Submit the report electronically in the

same manner as the CQC Report for each Preparatory Phase held.] [Original attached to the original CQC Report and one copy attached to each QC Report copy.]

- d. Initial Phase Checklist: [Submit the report electronically in the same manner as the CQC Report for each Initial Phase held.] [Original attached to the original CQC Report and one copy attached to each QC Report copy.]
- [e. QC Specialist Reports: [Submit the report electronically by 10:00 AM the next working day after each day that work is performed.] [Mail or hand-carry the original (wet signatures) [and one copy] [and [\_\_\_\_\_] copies] by 10:00 AM the next working day after each day that work is performed.]]
- f. Field Test Reports: [Within two working days after the test is performed, submit the report as an electronic attachment to the CQC Report.] [Mail or hand-carry the original within two working days after the test is performed, attached to the original CQC Report and one copy attached to each QC Report copy.]
- g. Monthly Summary Report of Tests: [Submit the report as an electronic attachment to the CQC Report at the end of each month.] [Mail or hand-carry the original attached to the last QC Report of the month.]
- h. Testing Plan and Log: [Submit the report as an electronic attachment to the CQC Report, at the end of each month. A copy of the final Testing Plan and Log shall be provided to the OMSI preparer for inclusion into the OMSI documentation.] [Mail or hand-carry the original attached to the last CQC Report of each month and one copy attached to each CQC Report copy. A copy of the final Testing Plan and Log shall be provided to the OMSI preparer for inclusion into the OMSI documentation.]
- i. Rework Items List: [Submit lists containing new entries daily, in the same manner as the CQC Report.] [Mail or hand-carry the original attached to the last CQC Report of each month and one copy attached to each CQC Report copy.]
- j. CQC Meeting Minutes: [Within two working days after the meeting is held, submit the report as an electronic attachment to the CQC Report.] [Mail or hand-carry the original within two working days after the meeting is held, attached to the original CQC Report and one copy attached to each CQC Report copy.]
- k. QC Certifications: As required by the paragraph entitled "QC Certifications."

#### 1.4 QC PROGRAM REQUIREMENTS

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**NOTE: Use this paragraph for all projects except  
NAVFAC Pacific.**  
\*\*\*\*\*

Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases



of control, submittal review and approval, testing, completion inspections, and QC certifications and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC program must cover on-site and off-site work and be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to an officer of the firm and not be subordinate to the Project Superintendent or the Project Manager. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.

\*\*\*\*\*  
**NOTE: Use this on NAVFAC Pacific projects.**  
\*\*\*\*\*

[ Establish and maintain a QC program as described in this section. This QC program is a key element in meeting the objectives of NAVFAC Commissioning. The QC program consists of a QC Organization, QC Plan, QC Plan Meeting(s), a Coordination and Mutual Understanding Meeting, QC meetings, three phases of control, submittal review and approval, testing, completion inspections, and QC certifications and documentation necessary to provide materials, equipment, workmanship, fabrication, construction and operations which comply with the requirements of this Contract. The QC program shall cover on-site and off-site work and shall be keyed to the work sequence. No construction work or testing may be performed unless the QC Manager is on the work site. The QC Manager must report to the Project Superintendent. The QC Manager, Project Superintendent and Project Manager must work together effectively. Although the QC Manager is the primary individual responsible for quality control, all individuals will be held responsible for the quality of work on the job.]

#### 1.4.1 Commissioning

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**NOTE: Fundamental commissioning of building energy systems is required for LEED certification. The prerequisite fundamental commissioning can be met with minimal additional documentation. This section is written towards meeting fundamental commissioning requirements. Additional measures will be required to meet enhanced commissioning requirements.**  
\*\*\*\*\*

Commissioning (Cx) is a systematic process of ensuring that all building systems meet the requirements and perform interactively according to the Contract. The QC Program is a key to this process by coordinating, verifying and documenting measures to achieve the following objectives:

- a. Verify and document that the applicable equipment and systems are installed in accordance with the design intent as expressed through the Contract and according to the manufacturer's recommendations and industry accepted minimum standards.
- b. Verify and document that equipment and systems receive complete operational checkout by the installing contractors.
- c. Verify and document proper performance of equipment and systems.

- d. Verify that Operation and Maintenance (O&M) documentation is complete.
- e. Verify and document that the Government's operating personnel are adequately trained.

#### 1.4.2 Acceptance of the Construction Quality Control (QC) Plan

Acceptance of the QC Plan is required prior to the start of construction. The Contracting Officer reserves the right to require changes in the QC Plan and operations as necessary, including removal of personnel, to ensure the specified quality of work. The Contracting Officer reserves the right to interview any member of the QC organization at any time in order to verify the submitted qualifications. All QC organization personnel are subject to acceptance by the Contracting Officer. The Contracting Officer may require the removal of any individual for non-compliance with quality requirements specified in the Contract.

#### 1.4.3 Preliminary Construction Work Authorized Prior to Acceptance

The only construction work that is authorized to proceed prior to the acceptance of the QC Plan is mobilization of storage and office trailers, temporary utilities, and surveying.

#### 1.4.4 Notification of Changes

Notify the Contracting Officer, in writing, of any proposed changes in the QC Plan or changes to the QC organization personnel, a minimum of 10 work days prior to a proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

### 1.5 QC ORGANIZATION

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NOTE: Qualifications of members of the QC organization must be approved by the Administering ROICC Office. The Project Manager must submit to the ROICC a copy of the General Description of the work with the proposed qualifications of members of the QC organization when requesting approval.  
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#### 1.5.1 QC Manager

##### 1.5.1.1 Duties

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NOTE: Select the first bracketed sentence for routine projects. Select the second bracketed sentences for large or complex projects. Remove the third and fourth bracketed phrases when QC Specialists are not specified.  
\*\*\*\*\*

Provide a QC Manager at the work site to implement and manage the QC program. [In addition to implementing and managing the QC program, the QC Manager may perform the duties of Project Superintendent.] [The only duties and responsibilities of the QC Manager are to manage and implement the QC program on this Contract. ] The QC Manager is required to attend

the partnering meetings, QC Plan Meetings, Coordination and Mutual Understanding Meeting, conduct the QC meetings, perform the three phases of control [except for those phases of control designated to be performed by QC Specialists], perform submittal review and approval, ensure testing is performed and provide QC certifications and documentation required in this Contract. The QC Manager is responsible for managing and coordinating the three phases of control and documentation performed by [the QC Specialists,] testing laboratory personnel and any other inspection and testing personnel required by this Contract. The QC Manager is the manager of all QC activities.

#### 1.5.1.2 Qualifications

\*\*\*\*\*  
**NOTE: Select and edit the first set of bracketed sentences for routine projects. Select and edit the second group of bracketed sentences for large or complex projects. For qualifications in excess of options listed, consult the Administering ROICC Office.**  
\*\*\*\*\*

[ An individual with a minimum of [5][10] years combined experience in the following positions: Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification, safety compliance, and sustainability.]

[ A graduate of a four year accredited college or university program in one of the following disciplines: Engineering, Architecture, Construction Management, Engineering Technology, Building Construction, or Building Science, with a minimum of 10 years experience as a Project Superintendent, QC Manager, Project Manager, Project Engineer or Construction Manager on similar size and type construction contracts which included the major trades that are part of this Contract. The individual must have at least two years experience as a QC Manager. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification, safety compliance, and sustainability.]

#### 1.5.2 LEED Commissioning Authority

##### 1.5.2.1 Duties

Provide a Commissioning Authority (CA) as key person for the Cx and documentation thereof, who is subordinate to the QC Manager. The CA directs and coordinates Cx activities and submits Cx reports to the Contracting Officer to meet the submittal and reporting requirements of the LEED EA Prerequisite Requirement for Fundamental Commissioning. The CA coordinates the actions of the QC Specialists, Testing Laboratory personnel, and other inspection and testing personnel required by this Contract for building Cx.

##### 1.5.2.2 Qualifications

\*\*\*\*\*  
**NOTE: Determine availability of CAs with specified**

level of experience. A CA is required for LEED accreditation. The CA may be Government personnel or with a third-party firm, but may not have contributed to or been responsible for the project design, construction management, or supervision. Schematic design, Contract document, and Contractor submittal reviews conducted by a CA from a third-party firm that is not on the design team contributes to the following LEED credit: EA3. A third-party CA who completes all Cx activities in addition to the reviews is preferred.

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The CA must be certified as a commissioning professional by the Association of Energy Engineers (AEE), the Building Commissioning Association (BCA), the National Environmental Balancing Bureau (NEBB), or the University of Wisconsin - Madison (UWM). CA resume is required, providing education, experience and management capabilities on at least two similar size and type contracts. The CA may not have been involved with the project design, construction management, or supervision[, and must be with a third-party firm that is not on the design team]. [ The CA must be a Leadership in Energy and Environmental Design Accredited Professional (LEED AP).]

#### 1.5.3 Construction Quality Management Training

In addition to the above experience and education requirements, the QC Manager must have completed the course entitled "Construction Quality Management (CQM) for Contractors." If the QC Manager does not have a current certification, they must obtain the CQM for Contractors course certification within 90 days of award. This course is periodically offered by the Naval Facilities Engineering Command and the Army Corps of Engineers. Contact the Contracting Officer for information on the next scheduled class.

#### 1.5.4 Alternate QC Manager Duties and Qualifications

Designate an alternate for the QC Manager at the work site to serve in the event of the designated QC Manager's absence. The period of absence may not exceed two weeks at one time, and not more than 30 workdays during a calendar year. The qualification requirements for the Alternate QC Manager must be the same as for the QC Manager.

#### [1.5.5 Assistant QC Manager Duties and Qualifications

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NOTE: This option will rarely be used. Consider specifying an Assistant QC Manager only if this is a labor intensive project, a very complex project, a project with multiple work sites, or a project where shifts are worked. Select the first option in most cases. Select and edit the second option when the project involves shift work. Select the qualifications from the QC Manager options.

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NOTE: Delete the words "Assistant QC Manager" throughout this section when this paragraph is not used.

\*\*\*\*\*

[ Provide an assistant to the QC Manager at the work site to perform the three phases of control, perform submittal review, ensure testing is performed, and prepare QC certifications and documentation required by this Contract. The qualification requirements for the Assistant QC Manager must be [FILL IN BASED ON NATURE AND COMPLEXITY OF JOB]. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification and safety compliance.]

[ Provide an assistant to the QC Manager at the work site to perform the three phases of control, perform submittal review, ensure testing is performed, and prepare QC certifications and documentation required by this Contract. The Assistant QC Manager must be on the work site during supplemental work shifts [beyond the regular shift] and perform the duties of the QC Manager during such supplemental shift work. The qualification requirements for the Assistant QC Manager must be [FILL IN BASED ON NATURE AND COMPLEXITY OF JOB]. The individual must be familiar with the requirements of EM 385-1-1, and have experience in the areas of hazard identification and safety compliance.]

] [1.5.6 QC Specialists Duties and Qualifications

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NOTE: Only specify QC Specialists for those areas of work of sufficient complexity or size where a specialist is required to supplement the QC Manager. The requirement for a QC Specialist must be included in Part 3 of the technical section of the specification where a QC Specialist is needed. The use of Registered Professional Engineers or Architects for QC Specialists may be allowed in special cases, but only after consultation with and approval by the Administering FEAD/ROICC Office. Indicate the specific time and frequency when the QC Specialist must be on the site.

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NOTE: Delete the words "QC Specialists" throughout this section when this paragraph is not used.

\*\*\*\*\*

Provide a separate QC Specialist at the work site for each of the areas of responsibilities, specified in Part 3, Execution, of the technical sections, who shall assist and report to the QC Manager and who [may perform production related duties but must be allowed sufficient time to perform] [will have no duties other than] their assigned quality control duties. QC Specialists are required to attend the [Coordination and Mutual Understanding Meeting, ]QC meetings and be physically present at the construction site to perform the three phases of control and prepare documentation for each definable feature of work in their area of responsibility[ at the frequency specified below].

\*\*\*\*\*

NOTE: The following are examples of QC Specialists duties and qualifications:

Qualification/Experience	Area of
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<u>in Area of Responsibility</u>	<u>Responsibility</u>	<u>Frequency</u>
Roofing Manufacturer's Technical Representative/ five years minimum	Installation and testing of roofing systems, Section 07 53 23 ETHYLENE-PROPYLENE- DIENE-MONOMER ROOFING	Full time
Mechanical Inspector, International Code Council (ICC) Certified/ five years minimum	Installation and testing of boilers, Section 23 52 49.00 20 STEAM BOILERS AND EQUIPMENT (500,000 - 18,000,000 BTU/HR)	Minimum three times a week during installation and full time during testing
Registered Structural Engineer, (P.E.)	Erection of structural steel, Section 05 12 00 STRUCTURAL STEEL	Minimum twice a week

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<u>Qualification/Experience in Area of Responsibility</u>	<u>Area of Responsibility</u>	<u>[Frequency]</u>
[_____]	[_____]	[_____]

] [1.5.7 Registered Fire Protection Engineer

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NOTE: For projects administered by NAVFAC Pacific Division or by the NAVFAC Northwest, include the services of a U.S. Registered Fire Protection Engineer for review and approval of all fire protection submittals.

\*\*\*\*\*

The U.S. Registered Fire Protection Engineer (FPE) shall be an independent third party hired directly by the Prime Contractor as an integral part of the Prime Contractor's Quality Control Organization. This FPE must have no business relationships (owner, partner, operating officer, distributor, salesman, or technical representative) with any subcontractors involved with this project, or with any fire protection equipment device manufacturers, suppliers or installers for any such equipment provided as part of this project. This FPE is responsible for review, approval, and coordination of all fire protection system material submittals, calculations, shop drawings, etc.

] [1.5.8 Submittal Reviewer[s] Duties and Qualifications

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NOTE: Edit as appropriate. Select this paragraph along with one of the three options available when submittal reviewers are desired to assist the QC Manager. Consult with the Administering FEAD/ROICC Office on which option to use.

\*\*\*\*\*

Provide [a] Submittal Reviewer[s], other than the QC Manager or CA, qualified in the discipline[s] being reviewed, to review and certify that the submittals meet the requirements of this Contract prior to certification or approval by the QC Manager.

\*\*\*\*\*

NOTE: Select this bracketed phrase for routine projects.

\*\*\*\*\*

[ Each submittal must be reviewed by an individual with 10 years of construction experience.]

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NOTE: Select this bracketed phrase for large or complex projects.

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[ Each submittal must be reviewed by a registered architect or professional engineer.]

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NOTE: Select and edit this bracketed group of phrases and table for projects where [a] submittal reviewer(s) of specific discipline for certain specification sections or submittals are needed.

\*\*\*\*\*

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NOTE: The following are examples of Submittal Reviewer qualification, duties and experience.

<u>Qualification/Experience in Submittal Discipline</u>	<u>Submittals to be reviewed: Section No.</u>	<u>Submittal</u>
Registered Mechanical Engineer	Division 22 & 23	All
Registered Structural Fabrication Engineer, (P.E.)	Section 05 12 00 STRUCTURAL STEEL	Drawings Erection Plan
Certified Industrial Hygienist (CIH)/ Comprehensive practice with five years experience in asbestos	Section 02 82 16.00 20 ENGINEERING CONTROL OF ASBESTOS CONTAINING MATERIAL	Asbestos Hazard Abatement Plan

\*\*\*\*\*

[Each of the following submittals must be reviewed by [an] individual[s] meeting the qualifications/experience specified below:

Qualification/Experience	Submittals to be reviewed:
--------------------------	----------------------------

in Submittal Discipline                      Spec Section No.                      Submittal

[\_\_\_\_\_]

[\_\_\_\_\_]

[\_\_\_\_\_]

]

] 1.5.9      QC Administrative Assistant

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NOTE: Use this paragraph for a project requiring a large volume of submittals. Projects including Section 01 33 29 LEED(TM) DOCUMENTATION shall use this paragraph.  
\*\*\*\*\*

Provide an Administrative Assistant at the work site until the work has been accepted. The primary duty is to assist the QC Manager in processing and maintaining files for submittals, preparing and publishing reports and meeting minutes. After primary duties are accomplished, other duties may be assigned provided the duties do not interfere with primary duties.

] 1.5.10      Underwater QC Team

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NOTE: This paragraph to be used only when the inspection of underwater work is required.  
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Provide Underwater QC (UWQC) Team at the work site to perform underwater surveillance and inspection for the Contractor. The UWQC Team divers must have current commercial diver's license, with a minimum of five (5) years experience with underwater inspection. The personnel make up of the UWQC team shall comply with EM 385-1-1, OSHA and local requirements for Contract diving operations. Comply with all the applicable safety requirements of EM 385-1-1, OSHA and local requirements for Contract diving operations. The UWQC lead diver must be thoroughly familiar with the design plans and specifications to sufficiently understand the engineering aspects of the underwater construction and to be able to recognize and document potential problem areas such as improperly constructed or defective areas. Provide all necessary equipment to conduct surveillance and inspection services, including diver's equipment, dive boat, communication equipment, and photographic/video equipment. Diver(s) must be equipped to maintain two-way communication with QC personnel during diving operations. Prepare and submit a report including photographs and/or videos with the QC report after each dive. Frequency of underwater surveillance and inspection will be [\_\_\_\_\_] during installation and including final inspection. The UWQC Team must be an independent third party hired directly by the Prime Contractor, and shall have no involvement with the design, preparation of Contract, or installation of work.

] 1.6      QUALITY CONTROL (QC) PLAN

1.6.1      Construction Quality Control (QC) Plan

1.6.1.1      Requirements

Provide, for acceptance by the Contracting Officer, a Construction QC Plan submitted in a three-ring binder that includes a table of contents, with major sections identified with tabs, with pages numbered sequentially, and that documents the proposed methods and responsibilities for accomplishing



commissioning activities during the construction of the project:

- a. QC ORGANIZATION: A chart showing the QC organizational structure.
- b. NAMES AND QUALIFICATIONS: Names and qualifications, in resume format, for each person in the QC organization. Include the CQM for Contractors course certifications for the QC Manager and Alternate QC Manager as required by the paragraphs entitled "Construction Quality Management Training" and "Alternate QC Manager Duties and Qualifications".
- c. DUTIES, RESPONSIBILITY AND AUTHORITY OF QC PERSONNEL: Duties, responsibilities, and authorities of each person in the QC organization.
- d. OUTSIDE ORGANIZATIONS: A listing of outside organizations, such as architectural and consulting engineering firms, that will be employed by the Contractor and a description of the services these firms will provide.
- e. APPOINTMENT LETTERS: Letters signed by an officer of the firm appointing the QC Manager and Alternate QC Manager and stating that they are responsible for implementing and managing the QC program as described in this Contract. Include in this letter the responsibility of the QC Manager and Alternate QC Manager to implement and manage the three phases of control, and their authority to stop work which is not in compliance with the Contract. Letters of direction are to be issued by the QC Manager to [the Assistant QC Manager and ]all other QC Specialists outlining their duties, authorities, and responsibilities. Include copies of the letters in the QC Plan.
- f. SUBMITTAL PROCEDURES AND INITIAL SUBMITTAL REGISTER: Procedures for reviewing, approving, and managing submittals. Provide the name(s) of the person(s) in the QC organization authorized to review and certify submittals prior to approval. Provide the initial submittal of the Submittal Register as specified in Section 01 33 00 SUBMITTAL PROCEDURES.
- g. TESTING LABORATORY INFORMATION: Testing laboratory information required by the paragraphs entitled "Accreditation Requirements", as applicable.
- h. TESTING PLAN AND LOG: A Testing Plan and Log that includes the tests required, referenced by the specification paragraph number requiring the test, the frequency, and the person responsible for each test. Use Government forms to log and track tests.
- i. PROCEDURES TO COMPLETE REWORK ITEMS: Procedures to identify, record, track, and complete rework items. Use Government forms to record and track rework items.
- j. DOCUMENTATION PROCEDURES: Use Government form.
- k. LIST OF DEFINABLE FEATURES: A Definable Feature of Work (DFOW) is a task that is separate and distinct from other tasks and has control requirements and work crews unique to that task. A DFOW is identified by different trades or disciplines and is an item or activity on the construction schedule. Include in the list of DFOWs, but not be limited to, all critical path activities on the NAS. Include all activities for which this specification requires QC Specialists or

specialty inspection personnel. Provide separate DFOWs in the Network Analysis Schedule for each design development stage and submittal package.

1. PROCEDURES FOR PERFORMING THE THREE PHASES OF CONTROL: Identify procedures used to ensure the three phases of control to manage the quality on this project. For each DFOW, a Preparatory and Initial phase checklist will be filled out during the Preparatory and Initial phase meetings. Conduct the Preparatory and Initial Phases and meetings with a view towards obtaining quality construction by planning ahead and identifying potential problems for each DFOW.

\*\*\*\*\*  
NOTE: Contact the Administering FEAD/ROICC Office to determine if the following three paragraphs are applicable to the project and edit accordingly. Include "o. TRAINING PROCEDURES AND TRAINING LOG" if the project will be submitted for LEED certification. Generally a personnel matrix is only required for extremely large projects like hospitals.  
\*\*\*\*\*

- m. PERSONNEL MATRIX: [Not Applicable] [A personnel matrix showing for each section of the specification who will review and approve submittals, who will perform and document the three phases of control, and who will perform and document the testing.]
- n. PROCEDURES FOR COMPLETION INSPECTION: [Not Applicable] [Procedures for identifying and documenting the completion inspection process. Include in these procedures the responsible party for punch out inspection, pre-final inspection, and final acceptance inspection.]
- o. TRAINING PROCEDURES AND TRAINING LOG: [Not Applicable] [Procedures for coordinating and documenting the training of personnel required by the Contract. Include a sample record of training for reporting what systems were included in the training, who provided the training, when and where the training was performed and who attended the training.]
- p. ORGANIZATION AND PERSONNEL CERTIFICATIONS LOG: Procedures for coordinating, tracking and documenting all certifications on subcontractors, testing laboratories, suppliers, personnel, etc. QC Manager will ensure that certifications are current, appropriate for the work being performed, and will not lapse during any period of the contract that the work is being performed.

#### 1.7 QC PLAN MEETINGS

Prior to submission of the QC Plan, the QC Manager will meet with the Contracting Officer to discuss the QC Plan requirements of this Contract. The purpose of this meeting is to develop a mutual understanding of the QC Plan requirements prior to plan development and submission and to agree on the Contractor's list of DFOWs.

#### 1.8 COORDINATION AND MUTUAL UNDERSTANDING MEETING

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NOTE: Remove the first and second bracketed phrases when Assistant QC Manager or QC Specialist options are not specified. Remove the third and fourth

bracketed phrases when the A/E is not required to attend. Consult with the Administating ROICC Office on whether to use the last group of bracketed words.

\*\*\*\*\*

After submission of the QC Plan, and prior to the start of construction, the QC Manager will meet with the Contracting Officer to present the QC program required by this Contract. When a new QC Manager is appointed, the coordination and mutual understanding meeting shall be repeated.

#### 1.8.1 Purpose

The purpose of this meeting is to develop a mutual understanding of the QC details, including documentation, administration for on-site and off-site work, design intent, Cx, environmental requirements and procedures, coordination of activities to be performed, and the coordination of the Contractor's management, production, and QC personnel. At the meeting, the Contractor will be required to explain in detail how three phases of control will be implemented for each DFOW, as well as how each DFOW will be affected by each management plan or requirement as listed below:

- a. Waste Management Plan.
- b. IAQ Management Plan.
- c. Procedures for noise and acoustics management.
- d. Environmental Protection Plan.
- e. Environmental regulatory requirements.
- f. Cx Plan.

#### 1.8.2 Coordination of Activities

Coordinate activities included in various sections to assure efficient and orderly installation of each component. Coordinate operations included under different sections that are dependent on each other for proper installation and operation. Schedule construction operations with consideration for indoor air quality as specified in the IAQ Management Plan. Coordinate prefunctional tests and startup testing with Cx.

#### 1.8.3 Attendees

As a minimum, the Contractor's personnel required to attend include an officer of the firm, the Project Manager, Project Superintendent, QC Manager, Alternate QC Manager, [ Assistant QC Manager,] [ QC Specialists,] [ A/E,] CA, Environmental Manager, and subcontractor representatives. Each subcontractor who will be assigned QC responsibilities shall have a principal of the firm at the meeting. Minutes of the meeting will be prepared by the QC Manager and signed by the Contractor[, the A/E] and the Contracting Officer. Provide a copy of the signed minutes to all attendees[ and shall be included in the QC Plan].

#### 1.9 QC MEETINGS

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NOTE: Edit as appropriate.

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After the start of construction, conduct [weekly] QC meetings [once every two weeks] by the QC Manager at the work site with the Project Superintendent[, QC Specialists], the CA, and the foremen who are performing the work of the DFOWs. The QC Manager is to prepare the minutes of the meeting and provide a copy to the Contracting Officer within two working days after the meeting. The Contracting Officer may attend these meetings. As a minimum, accomplish the following at each meeting:

- a. Review the minutes of the previous meeting.
- b. Review the schedule and the status of work and rework.
- c. Review the status of submittals.
- d. Review the work to be accomplished in the next two weeks and documentation required.
- e. Resolve QC and production problems (RFI, etc.).
- f. Address items that may require revising the QC Plan.
- g. Review Accident Prevention Plan (APP).
- h. Review environmental requirements and procedures.
- i. Review Waste Management Plan.
- j. Review IAQ Management Plan.
- k. Review Environmental Management Plan.
- l. Review the status of training completion.
- m. Review Cx Plan and progress.

#### 1.10 DESIGN REVIEW AND DOCUMENTATION

##### 1.10.1 Basis of Design and Design Intent

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**NOTE: A Basis of Design and a Design Intent  
document must be available from the designer to  
allow the following review.**

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Review the basis of design received from the Contracting Officer[ and the design intent].

##### [1.10.2 Design Review

Review design documents to verify that each commissioned system meets the design intent relative to functionality, energy performance, water performance, maintainability, sustainability, system cost, indoor environmental quality, and local environmental impacts. Fully document review in written report.

### ]1.10.3 Contract Document Review

Review the Contract documents to verify that Cx is adequately specified, and that each commissioned system is likely to meet the design intent relative to functionality, energy performance, water performance, maintainability, sustainability, system cost, indoor environmental quality, and local environmental impacts.

### 1.11 THREE PHASES OF CONTROL

Adequately cover both on-site and off-site work with the Three Phases of Control and include the following for each DFOW.

#### 1.11.1 Preparatory Phase

Notify the Contracting Officer at least two work days in advance of each preparatory phase meeting. The meeting will be conducted by the QC Manager and attended by [the QC Specialists, ]the Project Superintendent, the CA, and the foreman responsible for the DFOW. When the DFOW will be accomplished by a subcontractor, that subcontractor's foreman shall attend the preparatory phase meeting. Document the results of the preparatory phase actions in the [daily Contractor Quality Control Report and in the ]Preparatory Phase Checklist. Perform the following prior to beginning work on each DFOW:

- a. Review each paragraph of the applicable specification sections.
- b. Review the Contract drawings.
- c. Verify that field measurements are as indicated on construction and/or shop drawings before confirming product orders, in order to minimize waste due to excessive materials.
- d. Verify that appropriate shop drawings and submittals for materials and equipment have been submitted and approved. Verify receipt of approved factory test results, when required.
- e. Review the testing plan and ensure that provisions have been made to provide the required QC testing.
- f. Examine the work area to ensure that the required preliminary work has been completed.
- g. Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
- h. Arrange for the return of shipping/packaging materials, such as wood pallets, where economically feasible.
- i. Examine the required materials, equipment and sample work to ensure that they are on hand and conform to the approved shop drawings and submitted data.
- j. Discuss specific controls used and construction methods, construction tolerances, workmanship standards, and the approach that will be used to provide quality construction by planning ahead and identifying potential problems for each DFOW.

- k. Review the APP and appropriate Activity Hazard Analysis (AHA) to ensure that applicable safety requirements are met, and that required Material Safety Data Sheets (MSDS) are submitted.
- l. Review the Cx Plan and ensure all preliminary work items have been completed and documented.

#### 1.11.2 Initial Phase

Notify the Contracting Officer at least two work days in advance of each initial phase. When construction crews are ready to start work on a DFO, conduct the initial phase with [the QC Specialists, ]the Project Superintendent, and the foreman responsible for that DFO. Observe the initial segment of the DFO to ensure that the work complies with Contract requirements. Document the results of the initial phase in the [daily CQC Report and in the ]Initial Phase Checklist. Repeat the initial phase for each new crew to work on-site, or when acceptable levels of specified quality are not being met. Perform the following for each DFO:

- a. Establish the quality of workmanship required.
- b. Resolve conflicts.
- c. Ensure that testing is performed by the approved laboratory.
- d. Check work procedures for compliance with the APP and the appropriate AHA to ensure that applicable safety requirements are met.
- e. Review the Cx Plan and ensure all preparatory work items have been completed and documented.

#### 1.11.3 Follow-Up Phase

Perform the following for on-going work daily, or more frequently as necessary, until the completion of each DFO and document in the daily CQC Report:

- a. Ensure the work is in compliance with Contract requirements.
- b. Maintain the quality of workmanship required.
- c. Ensure that testing is performed by the approved laboratory.
- d. Ensure that rework items are being corrected.
- e. Assure manufacturers representatives have performed necessary inspections if required and perform safety inspections.
- f. Review the Cx Plan and ensure all work items, testing, and documentation has been completed.

#### 1.11.4 Additional Preparatory and Initial Phases

Conduct additional preparatory and initial phases on the same DFO if the quality of on-going work is unacceptable, if there are changes in the applicable QC organization, if there are changes in the on-site production supervision or work crew, if work on a DFO is resumed after substantial period of inactivity, or if other problems develop.

#### 1.11.5 Notification of Three Phases of Control for Off-Site Work

Notify the Contracting Officer at least two weeks prior to the start of the preparatory and initial phases.

#### 1.12 SUBMITTAL REVIEW AND APPROVAL

Procedures for submission, review and approval of submittals are described in Section 01 33 00 SUBMITTAL PROCEDURES.

#### 1.13 TESTING

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NOTE: A check must be made to ensure that all required field and factory tests are listed in each technical section. Use of accredited laboratories overseas, when available, will be implemented at the discretion of the Contracting Officer. Edit the following paragraphs accordingly.  
\*\*\*\*\*

Except as stated otherwise in the specification sections, perform sampling and testing required under this Contract.

##### 1.13.1 Accreditation Requirements

Construction materials testing laboratories must be accredited by a laboratory accreditation authority and will be required to submit a copy of the Certificate of Accreditation and Scope of Accreditation. The laboratory's scope of accreditation must include the appropriate ASTM standards (E 329, C 1077, D 3666, D 3740, A 880, E 543) listed in the technical sections of the specifications. Laboratories engaged in Hazardous Materials Testing shall meet the requirements of OSHA and EPA. The policy applies to the specific laboratory performing the actual testing, not just the Corporate Office.

##### 1.13.2 Laboratory Accreditation Authorities

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NOTE: Request for listing additional laboratory accreditation programs shall be submitted to NAVFACENCOM EOC/OCR.  
\*\*\*\*\*

Laboratory Accreditation Authorities include the National Voluntary Laboratory Accreditation Program (NVLAP) administered by the National Institute of Standards and Technology at <http://ts.nist.gov/ts/htdocs/210/214/214.htm>, the American Association of State Highway and Transportation Officials (AASHTO) program at <http://www.transportation.org/aashto/home.nsf/frontpage>, International Accreditation Services, Inc. (IAS) at <http://www.iasonline.org>, U. S. Army Corps of Engineers Materials Testing Center (MTC) at <http://www.wes.army.mil/SL/MTC/>, the American Association for Laboratory Accreditation (A2LA) program at <http://www.a2la.org/>, the Washington Association of Building Officials (WABO) at <http://www.wabo.org/> (Approval authority for WABO is limited to projects within Washington State), and the Washington Area Council of Engineering Laboratories (WACEL) at <http://www.wacel.org/labaccred.html> (Approval authority by WACEL is limited to projects within Facilities Engineering Command (FEC) Washington

geographical area).

#### 1.13.3 Capability Check

The Contracting Officer retains the right to check laboratory equipment in the proposed laboratory and the laboratory technician's testing procedures, techniques, and other items pertinent to testing, for compliance with the standards set forth in this Contract.

#### 1.13.4 Test Results

Cite applicable Contract requirements, tests or analytical procedures used. Provide actual results and include a statement that the item tested or analyzed conforms or fails to conform to specified requirements. If the item fails to conform, notify the Contracting Officer immediately. Conspicuously stamp the cover sheet for each report in large red letters "CONFORMS" or "DOES NOT CONFORM" to the specification requirements, whichever is applicable. Test results must be signed by a testing laboratory representative authorized to sign certified test reports. Furnish the signed reports, certifications, and other documentation to the Contracting Officer via the QC Manager. Furnish a summary report of field tests at the end of each month, per the paragraph entitled "INFORMATION FOR THE CONTRACTING OFFICER".

#### 1.13.5 Test Reports and Monthly Summary Report of Tests

Furnish the signed reports, certifications, and a summary report of field tests at the end of each month to the Contracting Officer. Attach a copy of the summary report to the last daily Contractor Quality Control Report of each month. Provide a copy of the signed test reports and certifications to the OMSI preparer for inclusion into the OMSI documentation.

### 1.14 QC CERTIFICATIONS

#### 1.14.1 CQC Report Certification

Contain the following statement within the CQC Report: "On behalf of the Contractor, I certify that this report is complete and correct and equipment and material used and work performed during this reporting period is in compliance with the contract drawings and specifications to the best of my knowledge, except as noted in this report."

#### 1.14.2 Invoice Certification

Furnish a certificate to the Contracting Officer with each payment request, signed by the QC Manager, attesting that as-built drawings are current, coordinated and attesting that the work for which payment is requested, including stored material, is in compliance with Contract requirements.

#### 1.14.3 Completion Certification

Upon completion of work under this Contract, the QC Manager shall furnish a certificate to the Contracting Officer attesting that "the work has been completed, inspected, tested and is in compliance with the Contract." Provide a copy of this final QC Certification for completion to the OMSI preparer for inclusion into the OMSI documentation.



## 1.15 COMPLETION INSPECTIONS

### 1.15.1 Punch-Out Inspection

Near the completion of all work or any increment thereof, established by a completion time stated in the Contract Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the QC Manager and the CA must conduct an inspection of the work and develop a "punch list" of items which do not conform to the approved drawings, specifications and Contract. Include in the punch list any remaining items on the "Rework Items List", which were not corrected prior to the Punch-Out Inspection. Include within the punch list the estimated date by which the deficiencies will be corrected. Provide a copy of the punch list to the Contracting Officer. The QC Manager, or staff, must make follow-on inspections to ascertain that all deficiencies have been corrected. Once this is accomplished, notify the Government that the facility is ready for the Government "Pre-Final Inspection".

### 1.15.2 Pre-Final Inspection

The Government and QCM will perform this inspection to verify that the facility is complete and ready to be occupied. A Government "Pre-Final Punch List" will be documented by the QCM as a result of this inspection. The QC Manager will ensure that all items on this list are corrected prior to notifying the Government that a "Final" inspection with the Client can be scheduled. Any items noted on the "Pre-Final" inspection must be corrected in a timely manner and be accomplished before the contract completion date for the work, or any particular increment thereof, if the project is divided into increments by separate completion dates.

### 1.15.3 Final Acceptance Inspection

Notify the Contracting Officer at least 14 calendar days prior to the date a final acceptance inspection can be held. State within the notice that all items previously identified on the pre-final punch list will be corrected and acceptable, along with any other unfinished Contract work, by the date of the final acceptance inspection. The Contractor must be represented by the QC Manager, the Project Superintendent, the CA, and others deemed necessary. Attendees for the Government will include the Contracting Officer, other FEAD/ROICC personnel, and personnel representing the Client. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the Contract Clause entitled "Inspection of Construction."

## 1.16 TRAINING

Prior to acceptance of the facility by the Contracting Officer for beneficial occupancy, the [QC Manager] [and] [or] [Contractor] must provide a comprehensive project-specific Government personnel training program for the systems and equipment of the facility specified in the technical specifications of this Contract. The trainees must include the Contracting Officer, facilities managers, maintenance staff, and [building occupants]. The Contractor is responsible for coordinating, scheduling, and ensuring that training is completed. Instructors shall be well-versed in the particular systems that they are presenting. Provide instruction time on site at a location approved by the Contracting Officer.

#### 1.16.1 Training Plan

Submit a written training plan to the Contracting Officer and CA for review and approval prior to training. Coordinated and scheduled the training with the Contracting Officer and CA. Include within the plan the following elements:

- a. Equipment included in training.
- b. Intended audience.
- c. Location of training.
- d. Objectives.
- e. Subjects covered including description.
- f. Duration of training on each subject.
- g. Methods (classroom lecture, video, site walk-through, actual operational demonstrations, written handouts, etc.).
- h. Instructor and instructor qualifications for each subject.

#### 1.16.2 Content

Stress and enhance the importance of system interactions, troubleshooting, and long-term preventative maintenance and operation. The core of this training will be based on manufacturer's recommendations and the operation and maintenance information provided as a part of this Contract. A review of environmentally-related aspects of the Operation and Maintenance Manuals shall be included. [The course shall provide a brief summary of Part I, "Facility Information" and a more detailed presentation of Part II, "Primary Systems Information" from the operation and maintenance manuals provided under Section 01 78 23 OPERATION AND MAINTENANCE DATA. Spend 95 percent of the instruction time during the presentation on Part II.] Include the following for each commissioned system:

- a. Design intent.
- b. Use of O&M Manuals.
- c. Review of control drawings and schematics.
- d. Start-up, normal operation, shutdown, unoccupied operation, seasonal changeover, manual operation, controls set-up and programming, troubleshooting, and alarms.
- e. Interactions with other systems.
- f. Adjustments and optimizing methods for energy conservation.
- g. Relevant health and safety issues.
- h. Special maintenance and replacement sources.
- i. Tenant interaction issues.
- j. Discussion of how the feature or system is environmentally responsive.

#### 1.16.3 Training Outline

The CA is responsible for overseeing and approving the content and adequacy of the training. The CA must interview the Government facilities manager and Contracting Officer to determine the special needs and areas where training will be most valuable. The Contracting Officer and CA must decide how rigorous the training should be for each piece of equipment. The CA is to communicate the results to the [QC Manager] [Contractor], who will provide each trainee in the course a written course outline, listing the major and minor topics to be discussed by the instructor on each day of the course.

#### 1.16.4 Video Recording

Provide to the Contracting Officer two copies of the training course in [DVD] [VHS] video recording format, and add one copy to the O&M manual data. Capture within the recording, in video and audio, all instructors' training presentations including question and answer periods with the trainees. Videotaping of the training sessions shall be provided by the Contractor.

#### 1.16.5 Unresolved Questions From Trainees

If, at the end of the training course, there are questions from trainees that remain unresolved, the instructor will send the answers, in writing, to the Contracting Officer for transmittal to the trainees, and the training video should be modified to include the appropriate clarifications.

#### 1.16.6 Validation of Training Completion

Develop criteria for determining that the training was satisfactorily completed, including attending some of the training, and upon fulfillment of the criteria, validate training completion. The CA will then recommend approval of the training to the Contracting Officer using a standard form and the CA and Contracting Officer will sign the approval form. Provide completed and signed validation of training forms as provided in the QC Plan for all training sessions accomplished. Provide two copies of the signed training validation forms to the Contracting Officer and one copy to the OMSI preparer for inclusion into the OMSI documentation.

### 1.17 DOCUMENTATION

Maintain current and complete records of on-site and off-site QC program operations and activities.

#### 1.17.1 Construction Documentation

Reports are required for each day that work is performed and must [be attached to ]the Contractor Quality Control Report prepared for the same day. Maintain current and complete records of on-site and off-site QC program operations and activities. The forms identified under the paragraph "INFORMATION FOR THE CONTRACTING OFFICER" will be used. Reports are required for each day work is performed. Account for each calendar day throughout the life of the Contract. Every space on the forms must be filled in. Use N/A if nothing can be reported in one of the spaces. The Project Superintendent and the QC Manager must prepare and sign the Contractor Production and CQC Reports, respectively. The reporting of work must be identified by terminology consistent with the construction

schedule. In the "remarks" sections of the reports, enter pertinent information including directions received, problems encountered during construction, work progress and delays, conflicts or errors in the drawings or specifications, field changes, safety hazards encountered, instructions given and corrective actions taken, delays encountered and a record of visitors to the work site, quality control problem areas, deviations from the QC Plan, construction deficiencies encountered, meetings held. For each entry in the report(s), identify the Schedule Activity No. that is associated with the entered remark.

#### 1.17.2 Quality Control Validation

Establish and maintain the following in a series of three ring binders. Binders shall be divided and tabbed as shown below. These binders must be readily available to the Contracting Officer during all business hours.

- a. All completed Preparatory and Initial Phase Checklists, arranged by specification section.
- b. All milestone inspections, arranged by Activity Number.
- c. An up-to-date copy of the Testing Plan and Log with supporting field test reports, arranged by specification section.
- d. Copies of all contract modifications, arranged in numerical order. Also include documentation that modified work was accomplished.
- e. An up-to-date copy of the Rework Items List.
- f. Maintain up-to-date copies of all punch lists issued by the QC staff to the Contractor and Sub-Contractors and all punch lists issued by the Government.
- g. Commissioning documentation including Cx checklists, schedules, tests, and reports.

#### [1.17.3 Reports from the QC Specialist(s)

Reports are required for each day that work is performed in their area of responsibility. QC Specialist reports shall include the same documentation requirements as the CQC Report for their area of responsibility. QC Specialist reports are to be prepared, signed and dated by the QC Specialists and shall be attached to the CQC Report prepared for the same day.

#### ]1.17.4 Testing Plan and Log

As tests are performed, [the CA] [and] [the QC Manager] will record on the "Testing Plan and Log" the date the test was performed and the date the test results were forwarded to the Contracting Officer. Attach a copy of the updated "Testing Plan and Log" to the last daily CQC Report of each month, per the paragraph "INFORMATION FOR THE CONTRACTING OFFICER". Provide a copy of the final "Testing Plan and Log" to the OMSI preparer for inclusion into the OMSI documentation.

#### 1.17.5 Rework Items List

The QC Manager must maintain a list of work that does not comply with the Contract, identifying what items need to be reworked, the date the item was

originally discovered, the date the item will be corrected by, and the date the item was corrected. There is no requirement to report a rework item that is corrected the same day it is discovered. [Attach a copy of the "Rework Items List" to the last daily CQC Report of each month. ]The Contractor is responsible for including those items identified by the Contracting Officer.

#### 1.17.6 As-Built Drawings

The QC Manager is required to ensure the as-built drawings, required by Section 01 78 00 CLOSEOUT SUBMITTALS are kept current on a daily basis and marked to show deviations which have been made from the Contract drawings. Ensure each deviation has been identified with the appropriate modifying documentation (e.g. PC No., Modification No., Request for Information No., etc.). The QC Manager [or QC Specialist assigned to an area of responsibility ]must initial each revision. Upon completion of work, the QC Manager will furnish a certificate attesting to the accuracy of the as-built drawings prior to submission to the Contracting Officer.

#### 1.18 NOTIFICATION ON NON-COMPLIANCE

The Contracting Officer will notify the Contractor of any detected non-compliance with the Contract. Take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders will be made the subject of claim for extension of time for excess costs or damages by the Contractor.

#### 1.19 CONSTRUCTION INDOOR AIR QUALITY (IAQ) MANAGEMENT PLAN

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**NOTE: Preventing indoor air quality problems resulting from the construction process sustains the comfort and health of construction workers and building occupants. Developing and implementing an IAQ Management Plan contributes to the following LEED credit: EQ3.**

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Submit an IAQ Management Plan within 15 days after [Contract award] [notice to proceed] and not less than 10 days before the preconstruction meeting. Revise and resubmit Plan as required by the Contracting Officer. Make copies of the final plan available to all workers on site. Include provisions in the Plan to meet the requirements specified below and to ensure safe, healthy air for construction workers and building occupants.

##### 1.19.1 Requirements During Construction

Provide for evaluation of indoor Carbon Dioxide concentrations in accordance with ASTM D 6245. Provide for evaluation of volatile organic compounds (VOCs) in indoor air in accordance with ASTM D 6345. Use filters with a Minimum Efficiency Reporting Value (MERV) of 8 in permanently installed air handlers during construction.

#### 1.19.1.1 Control Measures

Meet or exceed the requirements of [ANSI/SMACNA 008](#), Chapter 3, to help minimize contamination of the building from construction activities. The five requirements of this manual which must be adhered to are described below:

- a. HVAC protection: Isolate return side of HVAC system from surrounding environment to prevent construction dust and debris from entering the duct work and spaces.
- b. Source control: Use low emitting paints and other finishes, sealants, adhesives, and other materials as specified. When available, cleaning products shall have a low VOC content and be non-toxic to minimize building contamination. Utilize cleaning techniques that minimize dust generation. Cycle equipment off when not needed. Prohibit idling motor vehicles where emissions could be drawn into building. Designate receiving/storage areas for incoming material that minimize IAQ impacts.
- c. Pathway interruption: When pollutants are generated use strategies such as 100 percent outside air ventilation or erection of physical barriers between work and non-work areas to prevent contamination.
- d. Housekeeping: Clean frequently to remove construction dust and debris. Promptly clean up spills. Remove accumulated water and keep work areas dry to discourage the growth of mold and bacteria. Take extra measures when hazardous materials are involved.
- e. Scheduling: Control the sequence of construction to minimize the absorption of VOCs by other building materials.

#### 1.19.1.2 Moisture Contamination

- a. Remove accumulated water and keep work dry.
- b. Use dehumidification to remove moist, humid air from a work area.
- c. Do not use combustion heaters or generators inside the building.
- d. Protect porous materials from exposure to moisture.
- e. Remove and replace items which remain damp for more than a few hours.

#### 1.19.2 Requirements after Construction

After construction ends and prior to occupancy, conduct a building flush-out or test the indoor air contaminant levels. Flush-out must be a minimum two-weeks with MERV-13 filtration media as determined by [ASHRAE 52.2](#) at 100 percent outside air, or in accordance with [LEED Reference Guide](#). Air contamination testing must be consistent with EPA's current Compendium of Methods for the Determination of Air Pollutants in Indoor Air, and with the [LEED Reference Guide](#). After building flush-out or testing and prior to occupancy, replace filtration media. Filtration media must have a MERV of 13 as determined by [ASHRAE 52.2](#).

## PART 2 PRODUCTS

Not Used

## PART 3 EXECUTION

### 3.1 PREPARATION

Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials, products, and accessories covered and off the ground, and store in a dry, secure area. Prevent contact with material that may cause corrosion, discoloration, or staining. Protect all materials and installations from damage by the activities of other trades.

-- End of Section --