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USACE / NAVFAC / AFCEA UFGS-01781 (December 2001)  
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Preparing Activity: NAVFAC Superseding  
UFGS-01781N (September 2001)

UNIFIED FACILITIES GUIDE SPECIFICATION

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SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01781

OPERATION AND MAINTENANCE DATA

12/01

PART 1 GENERAL

- 1.1 SUBMISSION OF OPERATION AND MAINTENANCE DATA
  - 1.1.1 Package Quality
  - 1.1.2 Package Content
  - 1.1.3 Changes to Submittals
- 1.2 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES
  - 1.2.1 Operating Instructions
    - 1.2.1.1 Safety Precautions
    - 1.2.1.2 Operator Prestart
    - 1.2.1.3 Startup, Shutdown, and Post-Shutdown Procedures
    - 1.2.1.4 Normal Operations
    - 1.2.1.5 Emergency Operations
    - 1.2.1.6 Operator Service Requirements
    - 1.2.1.7 Environmental Conditions
  - 1.2.2 Preventive Maintenance
    - 1.2.2.1 Lubrication Data
    - 1.2.2.2 Preventive Maintenance Plan and Schedule
  - 1.2.3 Corrective Maintenance (Repair)
    - 1.2.3.1 Troubleshooting Guides and Diagnostic Techniques
    - 1.2.3.2 Wiring Diagrams and Control Diagrams
    - 1.2.3.3 Maintenance and Repair Procedures
    - 1.2.3.4 Removal and Replacement Instructions
    - 1.2.3.5 Spare Parts and Supply Lists
  - 1.2.4 Corrective Maintenance Work-Hours
  - 1.2.5 Appendices
  - 1.2.6 Parts Identification
    - 1.2.6.1 Warranty Information
    - 1.2.6.2 Personnel Training Requirements
    - 1.2.6.3 Testing Equipment and Special Tool Information
    - 1.2.6.4 Contractor Information
- 1.3 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES
  - 1.3.1 Data Package 1
  - 1.3.2 Data Package 2
  - 1.3.3 Data Package 3
  - 1.3.4 Data Package 4
  - 1.3.5 Data Package 5

PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

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UNIFIED FACILITIES GUIDE SPECIFICATION

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SECTION 01781

OPERATION AND MAINTENANCE DATA  
12/01

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NOTE: This guide specification covers the requirements for operation and maintenance (O&M) data normally shipped by a manufacturer at the same time as his associated piece of equipment is shipped. The requirements specified herein are intended to require those items of O&M data normally expected from the manufacturer of the associated equipment.

Comments and suggestions on this guide specification are welcome and should be directed to the technical proponent of the specification. A listing of technical proponents, including their organization designation and telephone number, is on the Internet.

Recommended changes to a UFGS should be submitted as a Criteria Change Request (CCR).

Use of electronic communication is encouraged.

This guide specification includes tailoring options for EFD and EFA regional requirements. Selection or deselection of a tailoring option will include or exclude that option in the section, but editing the resulting section to fit the project is still required.

Brackets are used in the text to indicate designer choices or locations where text must be supplied by the designer.

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PART 1 GENERAL

1.1 SUBMISSION OF OPERATION AND MAINTENANCE DATA

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NOTE: The provisions of this section apply only to those items requiring operation and maintenance data by the technical sections. The technical sections should include a paragraph entitled "SD-10 Operation and Maintenance Data," stating: "Submit Operation

and Maintenance Data in accordance with Section 01781, "Operations and Maintenance Data," Data Package (1) (2) (3) (4) (5). The O&M requirements specified herein may be supplemented by operation, maintenance, and repair requirements peculiar to certain equipment specified in the pertinent technical section.

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Submit Operation and Maintenance (O&M) Data specifically applicable to this contract and a complete and concise depiction of the provided equipment, product, or system. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01330 SUBMITTAL PROCEDURES.

#### 1.1.1 Package Quality

Documents must be fully legible. Poor quality copies and material with hole punches obliterating the text or drawings will not be accepted.

#### 1.1.2 Package Content

Data package content shall be as shown in the paragraph titled "Schedule of Operation and Maintenance Data Packages." Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission.

#### 1.1.3 Changes to Submittals

Manufacturer-originated changes or revisions to submitted data shall be furnished by the Contractor if a component of an item is so affected subsequent to acceptance of the O&M Data. Changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data, shall be submitted by the Contractor within 30 calendar days of the notification of this change requirement.

### 1.2 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

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**NOTE:** O&M Data needed for any product, system, or piece of equipment depends upon the complexity of that item. The types of O&M Data, defined below, are grouped into Data Packages in the paragraph titled "Schedule of Operation and Maintenance Data Packages." The Data Package numbers, in turn, appear in the technical guide specifications.

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#### 1.2.1 Operating Instructions

Include specific instructions, procedures, and illustrations for the following phases of operation:

##### 1.2.1.1 Safety Precautions

List personnel hazards and equipment or product safety precautions for all operating conditions.

#### 1.2.1.2 Operator Prestart

Include procedures required to set up and prepare each system for use.

#### 1.2.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

#### 1.2.1.4 Normal Operations

Provide narrative description of Normal Operating Procedures. Include Control Diagrams with data to explain operation and control of systems and specific equipment.

#### 1.2.1.5 Emergency Operations

Include Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Include Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of all utility systems including required valve positions, valve locations and zones or portions of systems controlled.

#### 1.2.1.6 Operator Service Requirements

Include instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gage readings.

#### 1.2.1.7 Environmental Conditions

Include a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

#### 1.2.2 Preventive Maintenance

Include the following information for preventive and scheduled maintenance to minimize corrective maintenance and repair.

##### 1.2.2.1 Lubrication Data

Include preventative maintenance lubrication data, in addition to instructions for lubrication provided under paragraph titled "Operator Service Requirements":

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

#### 1.2.2.2 Preventive Maintenance Plan and Schedule

Include manufacturer's schedule for routine preventive maintenance, inspections, tests and adjustments required to ensure proper and economical operation and to minimize corrective maintenance. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and procedures for each separate operation.

#### 1.2.3 Corrective Maintenance (Repair)

Include manufacturer's recommended procedures and instructions for correcting problems and making repairs.

##### 1.2.3.1 Troubleshooting Guides and Diagnostic Techniques

Include step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

##### 1.2.3.2 Wiring Diagrams and Control Diagrams

Wiring diagrams and control diagrams shall be point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

##### 1.2.3.3 Maintenance and Repair Procedures

Include instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

##### 1.2.3.4 Removal and Replacement Instructions

Include step-by-step procedures and a list required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions shall include a combination of text and illustrations.

##### 1.2.3.5 Spare Parts and Supply Lists

Include lists of spare parts and supplies required for maintenance and repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

#### 1.2.4 Corrective Maintenance Work-Hours

Include manufacturer's projection of corrective maintenance work-hours including requirements by type of craft. Corrective maintenance that requires completion or participation of the equipment manufacturer shall be identified and tabulated separately.

#### 1.2.5 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

#### 1.2.6 Parts Identification

Provide identification and coverage for all parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing shall show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Parts shown in the listings shall be grouped by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog

##### 1.2.6.1 Warranty Information

List and explain the various warranties and include the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components such as the compressor of air conditioning system.

##### 1.2.6.2 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

##### 1.2.6.3 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components.

##### 1.2.6.4 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

#### 1.3 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

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**NOTE: The type of O&M data needed for any product,  
system, or piece of equipment depends upon the**

complexity of that item. If not, the specifier, guided by this criteria note, shall include the appropriate Data Package number in the technical section; the Data Package Number shall be selected from the choices 1 through 5 below. Custom or other non-SpectsInTact- based technical specifications may be missing the Data Package numbers

Data Package 1 would typically be used for architectural items requiring simple but specific maintenance and replacement; for example, acoustical ceiling, floor tile or carpeting system.

Data Package 2 would be used for an item that is less simple; for example, an item having a motor and some sequence of operation such as a refrigerated drinking fountain.

Data Package 3 would be used for a complex piece of equipment, having a specific troubleshooting sequence, but one which does not require an operator on watch; for example, HVAC temperature controls.

Data Package 4 would be used for an extremely complex piece of equipment, having an extensive sequence of operation, a complex troubleshooting sequence and one requiring frequent operator attention; at least for start-up and shut-down. Examples of this case would be small boilers and small diesel generator sets.

Finally, Data Package 5 would be used for electrical equipment, components or systems on which, wiring and control diagrams are needed for operation, maintenance or repair. Examples of this case are 400 Hz frequency converters, annunciator panels and cathodic protection systems.

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Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:

1.3.1 Data Package 1

- a. Safety precautions
- b. Maintenance and repair procedures
- c. Warranty information
- d. Contractor information
- e. Spare parts and supply list

1.3.2 Data Package 2

- a. Safety precautions
- b. Normal operations



- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan and schedule
- f. Maintenance and repair procedures
- g. Removal and replacement instructions
- h. Spare parts and supply list
- i. Parts identification
- j. Warranty information
- k. Contractor information

#### 1.3.3 Data Package 3

- a. Safety precautions
- b. Normal operations
- c. Emergency operations
- d. Environmental conditions
- e. Lubrication data
- f. Preventive maintenance plan and schedule
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring diagrams and control diagrams
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Parts identification
- m. Warranty information
- n. Testing equipment and special tool information
- o. Contractor information

#### 1.3.4 Data Package 4

- a. Safety precautions
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures

- d. Normal operations
- e. Emergency operations
- f. Operator service requirements
- g. Environmental conditions
- h. Lubrication data
- i. Preventive maintenance plan and schedule
- j. Troubleshooting guides and diagnostic techniques
- k. Wiring diagrams and control diagrams
- l. Maintenance and repair procedures
- m. Removal and replacement instructions
- n. Spare parts and supply list
- o. Corrective maintenance man-hours
- p. Parts identification
- q. Warranty information
- r. Personnel training requirements
- s. Testing equipment and special tool information
- t. Contractor information

#### 1.3.5 Data Package 5

- a. Safety precautions
- b. Operator prestart
- c. Start-up, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan and schedule
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Spare parts and supply list
- k. Testing equipments and special tools
- l. Warranty information

m. Contractor information

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --