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USACE / NAVFAC / AFCEA UFGS-13286 (August 2004)  
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Preparing Activity: NAVFAC Superseding  
UFGS-13286N (August 2003)

## UNIFIED FACILITIES GUIDE SPECIFICATION

References are in agreement with UMRL dated 25 June 2004

Latest change indicated by CHG tags

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13286

HANDLING OF LIGHTING BALLASTS AND LAMPS CONTAINING PCBs AND MERCURY

08/04

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13286

HANDLING OF LIGHTING BALLASTS AND LAMPS CONTAINING PCBs AND MERCURY  
08/04

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NOTE: This guide specification covers the requirements for removal and disposal of polychlorinated biphenyl (PCB) containing lighting ballasts and mercury containing lamps, and the handling of resulting wastes.

Comments and suggestions on this guide specification are welcome and should be directed to the technical proponent of the specification. A listing of technical proponents, including their organization designation and telephone number, is on the Internet.

Recommended changes to a UFGS should be submitted as a Criteria Change Request (CCR).

Use of electronic communication is encouraged.

Brackets are used in the text to indicate designer choices or locations where text must be supplied by the designer.

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NOTE: Do not use this section if, in addition to ballasts, other PCB removal and disposal is included in the job, but use Section 13284 REMOVAL AND DISPOSAL OF POLYCHLORINATED BIPHENYLS (PCBS) and include the removal and disposal of the ballasts in that section. Also, use Section 13284 where a significant number of ballasts are known to be leaking.

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PART 1 GENERAL

1.1 REFERENCES

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NOTE: Issue (date) of references included in

project specifications need not be more current than provided by the latest guide specification. Use of SpecsIntact automated reference checking is recommended for projects based on older guide specifications.

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The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

DISTRICT OF COLUMBIA MUNICIPAL REGULATIONS (DCMR)

20 DCMR (1997) Environment - Chapters 40 to 70,  
Subtitle E: Hazardous Waste, Chapters 40-46

STATE OF MARYLAND CODE OF MARYLAND REGULATIONS (COMAR)

COMAR 26.13 (1988) Chapters .01- .13, Disposal of  
Controlled Hazardous Substances

STATE OF VIRGINIA ADMINISTRATIVE CODE (VAC)

9 VAC 20-60 Title 9, Agency 20, Chapter 60: Hazardous  
Waste Management Regulations

9 VAC 20-80 Title 9, Agency 20, Chapter 80: Solid  
Waste Management Regulations

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

29 CFR 1910.1000 Air Contaminants

40 CFR 260 Hazardous Waste Management System: General

40 CFR 261 Identification and Listing of Hazardous  
Waste

40 CFR 262 Standards Applicable to Generators of  
Hazardous Waste

40 CFR 263 Standards Applicable to Transporters of  
Hazardous Waste

40 CFR 264 Standards for Owners and Operators of  
Hazardous Waste Treatment, Storage, and  
Disposal Facilities

40 CFR 265 Interim Status Standards for Owners and  
Operators of Hazardous Waste Treatment,  
Storage, and Disposal Facilities

40 CFR 268 Land Disposal Restrictions

40 CFR 270 EPA Administered Permit Programs: The  
Hazardous Waste Permit Program

40 CFR 273 Standards For Universal Waste Management

40 CFR 761

Polychlorinated Biphenyls (PCBs)  
Manufacturing, Processing, Distribution in  
Commerce, and Use Prohibitions

49 CFR 178

Specifications for Packagings

## 1.2 REQUIREMENTS

Removal and disposal of PCB containing lighting ballasts and associated mercury-containing lamps. Contractor may encounter leaking PCB ballasts.

## 1.3 DEFINITIONS

### 1.3.1 Certified Industrial Hygienist (CIH)

A industrial hygienist hired by the contractor shall be certified by the American Board of Industrial Hygiene.

### 1.3.2 Leak

Leak or leaking means any instance in which a PCB article, PCB container, or PCB equipment has any PCBs on any portion of its external surface.

### 1.3.3 Lamps

Lamp, also referred to as "universal waste lamp", is defined as the bulb or tube portion of an electric lighting device. A lamp is specifically designed to produce radiant energy, most often in the ultraviolet, visible, and infra-red regions of the electromagnetic spectrum. Examples of common universal waste electric lamps include, but are not limited to, fluorescent, high intensity discharge, neon, mercury vapor, high pressure sodium, and metal halide lamps.

### 1.3.4 Polychlorinated Biphenyls (PCBs)

PCBs as used in this specification shall mean the same as PCBs, PCB containing lighting ballast, and PCB container, as defined in 40 CFR 761, Section 3, Definitions.

### 1.3.5 Spill

Spill means both intentional and unintentional spills, leaks, and other uncontrolled discharges when the release results in any quantity of PCBs running off or about to run off the external surface of the equipment or other PCB source, as well as the contamination resulting from those releases.

### 1.3.6 Universal Waste

Universal Waste means any of the following hazardous wastes that are managed under the universal waste requirements 40 CFR 273:

- (1) Batteries as described in Sec. 273.2 of this chapter;
- (2) Pesticides as described in Sec. 273.3 of this chapter;
- (3) Thermostats as described in Sec. 273.4 of this chapter; and
- (4) Lamps as described in Sec. 273.5 of this chapter.

#### 1.4 QUALITY ASSURANCE

##### 1.4.1 Regulatory Requirements

Perform PCB related work in accordance with 40 CFR 761 and [COMAR 26.13] [9 VAC 20-60 and 9 VAC 20-80] [20 DCMR]. [Perform mercury-containing lamps storage and transport in accordance with 40 CFR 261, 40 CFR 264, 40 CFR 265, 40 CFR 273 and [COMAR 26.13] [9 VAC 20-60 and 9 VAC 20-80] [20 DCMR].]

##### 1.4.2 Training

Certified industrial hygienist (CIH) shall instruct and certify the training of all persons involved in the removal of PCB containing lighting ballasts and mercury-containing lamps. The instruction shall include: The dangers of PCB and mercury exposure, decontamination, safe work practices, and applicable OSHA and EPA regulations. The CIH shall review and approve the PCB and Mercury-Containing Lamp Removal Work Plans.

##### 1.4.3 Regulation Documents

Maintain at all times one copy each at the office and one copy each in view at the job site of 29 CFR 1910.1000, 40 CFR 260, 40 CFR 261, 40 CFR 262, 40 CFR 263, 40 CFR 265, 40 CFR 268, 40 CFR 270, 40 CFR 273 and [COMAR 26.13] [9 VAC 20-60 9 VAC 20-80] [20 DCMR] and of the Contractor removal work plan and disposal plan for PCB and for associated mercury-containing lamps.

#### 1.5 SUBMITTALS

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NOTE: Submittals must be limited to those necessary for adequate quality control. The importance of an item in the project should be one of the primary factors in determining if a submittal for the item should be required.

A "G" following a submittal item indicates that the submittal requires Government approval. Some submittals are already marked with a "G". Only delete an existing "G" if the submittal item is not complex and can be reviewed through the Contractor's Quality Control system. Only add a "G" if the submittal is sufficiently important or complex in context of the project.

For submittals requiring Government approval on Army projects, a code of up to three characters within the submittal tags may be used following the "G" designation to indicate the approving authority. Codes for Army projects using the Resident Management System (RMS) are: "AE" for Architect-Engineer; "DO" for District Office (Engineering Division or other organization in the District Office); "AO" for Area Office; "RO" for Resident Office; and "PO" for Project Office. Codes following the "G" typically are not used for Navy projects.

Submittal items not designated with a "G" are considered as being for information only for Army

**projects and for Contractor Quality Control approval  
for Navy projects.**

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Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are [for Contractor Quality Control approval.] [for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government.] The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-07 Certificates

Qualifications of CIH; G, [\_\_\_\_\_]

Training Certification; G, [\_\_\_\_\_]

PCB and Lamp Removal Work Plan; G, [\_\_\_\_\_]

PCB and Lamp Disposal Plan; G, [\_\_\_\_\_]

SD-11 Closeout Submittals

Transporter certification of notification to EPA of their PCB waste activities and EPA ID numbers; G, [\_\_\_\_\_]

Certification of Decontamination

Certificate of Disposal and/or recycling. Submit to the Government before application for payment within 30 days of the date that the disposal of the PCB and mercury-containing lamp waste identified on the manifest was completed.

DD Form 1348-1

[Testing results]

1.6 ENVIRONMENTAL REQUIREMENTS

Use special clothing:

- a. Disposable gloves (polyethylene)
- b. Eye protection
- c. PPE as required by CIH

1.7 SCHEDULING

Notify the Contracting Officer 20 days prior to the start of PCB and mercury-containing lamp removal work.

1.8 QUALITY ASSURANCE

1.8.1 Qualifications of CIH

Submit the name, address, and telephone number of the Industrial Hygienist selected to perform the duties in paragraph entitled "Certified Industrial Hygienist." Submit training certification that the Industrial Hygienist is

certified, including certification number and date of certification or re certification.

#### 1.8.2 PCB and Lamp Removal Work Plan

Submit a job-specific plan within [20] [\_\_\_\_\_] calendar days after award of contract of the work procedures to be used in the removal, packaging, and storage of PCB-containing lighting ballasts and associated mercury-containing lamps. Include in the plan: Requirements for Personal Protective Equipment (PPE), spill cleanup procedures and equipment, eating, smoking and restroom procedures. The plan shall be approved and signed by the Certified Industrial Hygienist. Obtain approval of the plan by the Contracting Officer prior to the start of PCB and/or lamp removal work.

#### 1.8.3 PCB and Lamp Disposal Plan

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NOTE: If Government disposal of PCB waste is  
available by the station, then omit this paragraph.  
Verify that Government disposal is available and  
make arrangements if so.  
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Submit a PCB and lamp Disposal Plan with [45] [\_\_\_\_\_] calendar days after award of contract. The PCB and Lamp Disposal Plan shall comply with applicable requirements of federal, state, and local PCB and Universal waste regulations and address:

- a. Estimated quantities of wastes to be generated, disposed of, and recycled.
- b. Names and qualifications of each Contractor that will be transporting, storing, treating, and disposing of the wastes. Include the facility location. Furnish two copies of EPA and state PCB and mercury-containing lamp waste permit applications and EPA identification numbers, as required.
- c. Names and qualifications (experience and training) of personnel who will be working on-site with PCB and mercury-containing lamp wastes.
- d. Spill prevention, containment, and cleanup contingency measures to be implemented.
- e. Work plan and schedule for PCB and mercury-containing lamp waste removal, containment, storage, transportation, disposal and or recycling. Wastes shall be cleaned up and containerize daily.

#### PART 2 PRODUCTS

Not used.

#### PART 3 EXECUTION

##### 3.1 WORK PROCEDURE

Furnish labor, materials, services, and equipment necessary for the removal of PCB containing lighting ballasts, associated mercury-containing fluorescent lamps, [and high intensity discharge (HID) lamps] in accordance



with local, state, or federal regulations. Do not expose PCBs to open flames or other high temperature sources since toxic decomposition by-products may be produced. Do not break mercury containing fluorescent lamps or high intensity discharge lamps.

#### 3.1.1 Work Operations

Ensure that work operations or processes involving PCB or PCB-contaminated materials are conducted in accordance with 40 CFR 761, 40 CFR 262 40 CFR 263, and the applicable requirements of this section, including but not limited to:

- a. Obtaining suitable PCB and mercury-containing lamp storage sites.
- b. Notifying Contracting Officer prior to commencing the operation.
- c. Reporting leaks and spills to the Contracting Officer.
- d. Cleaning up spills.
- e. Inspecting PCB and PCB-contaminated items and waste containers for leaks and forwarding copies of inspection reports to the Contracting Officer.
- f. Maintaining inspection, inventory and spill records.

#### 3.2 PCB SPILL CLEANUP REQUIREMENTS

##### 3.2.1 PCB Spills

Immediately report to the Contracting Officer any PCB spills.

##### 3.2.2 PCB Spill Control Area

Rope off an area around the edges of a PCB leak or spill and post a "PCB Spill Authorized Personnel Only" caution sign. Immediately transfer leaking items to a drip pan or other container.

##### 3.2.3 PCB Spill Cleanup

40 CFR 761, subpart G. Initiate cleanup of spills as soon as possible, but no later than 24 hours of its discovery. Mop up the liquid with rags or other conventional absorbent. The spent absorbent shall be properly contained and disposed of as solid PCB waste.

##### 3.2.4 Records and Certification

Document the cleanup with records of decontamination in accordance with 40 CFR 761, Section 125, Requirements for PCB Spill Cleanup. Provide test results of cleanup and certification of decontamination.

#### 3.3 REMOVAL

##### 3.3.1 Ballasts

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**NOTE: If there are less than 1600 lighting ballasts to be removed and disposed, delete the bracketed sentence.**

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As ballast are removed from the lighting fixture, inspect label on ballast. Ballasts without a "No PCB" label shall be assumed to contain PCBs and containerized and disposed of as required under paragraphs STORAGE FOR DISPOSAL and DISPOSAL. If there are less than 1600 "No PCB" labeled lighting ballasts dispose of them as normal demolition debris. [If there are more than 1600 "No PCB" labeled ballasts, establish whether the "No PCB" labeled ballasts contain diethylhexyl phthalate (DEHP) either by test or by checking with the ballast manufacturer indicated on the label. Submit testing results and/or written confirmation from the manufacturer to the Contracting Officer. If the ballasts do not contain DEHP, dispose of them as normal construction debris. If they do contain DEHP, dispose of them as hazardous material in accordance with Federal, State, and local regulations. As a basis of bid assume ballasts with "No PCB" labels do not contain DEHP and may disposed of as normal construction debris. If 1600 or more DEHP ballasts are disposed of in a 24 hour period, notify the National Response Team at 800-424-8802.]

### 3.3.2 Lighting Lamps

Remove lighting tubes/lamps from the lighting fixture and carefully place (unbroken) into appropriate containers (original transport boxes or equivalent). In the event of a lighting tube/lamp breaking, sweep and place waste in double plastic taped bags and dispose of as universal waste as specified herein.

### 3.4 STORAGE FOR DISPOSAL

#### 3.4.1 Storage Containers for PCBs

49 CFR 178. Store PCB in containers approved by DOT for PCB.

#### 3.4.2 Storage Containers for lamps

Store mercury containing lamps in appropriate DOT containers. The boxes shall be stored and labeled for transport in accordance with 40 CFR 273.

#### 3.4.3 Labeling of Waste Containers

Label with the following:

- a. Date the item was placed in storage and the name of the cognizant activity/building.
- b. "Caution Contains PCB," conforming to 40 CFR 761, CFR Subpart C. Affix labels to PCB waste containers.
- c. Label mercury-containing lamp waste in accordance with 40 CFR 273. Affix labels to all lighting waste containers.

### 3.5 DISPOSAL

Dispose of off Government property in accordance with EPA, DOT, and local regulations at a permitted site.

#### 3.5.1 Identification Number

Federal regulations 40 CFR 761, and 40 CFR 263 require that generators,

transporters, commercial storers, and disposers of PCB waste possess U.S. EPA identification numbers. The contractor shall verify that the activity has a U.S. EPA generator identification number for use on the Uniform Hazardous Waste manifest. If not, the contractor shall advise the activity that it must file and obtain an I.D. number with EPA prior to commencement of removal work. For mercury containing lamp removal, Federal regulations 40 CFR 273 require that large quantity handlers of Universal waste (LQHUW) must provide notification of universal waste management to the appropriate EPA Region (or state director in authorized states), obtain an EPA identification number, and retain for three years records of off-site shipments of universal waste. The contractor shall verify that the activity has a U.S. EPA generator identification number for use on the Universal Waste manifest. If not, the contractor shall advise the activity that it must file and obtain an I.D. number with EPA prior to commencement of removal work.

### 3.5.2 Transporter Certification

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**NOTE: Choose this option and subparagraphs if the Contractor is to dispose of PCB waste.**  
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Comply with disposal and transportation requirements outlined in 40 CFR 761 and 40 CFR 263. Before transporting the PCB waste, sign and date the manifest acknowledging acceptance of the PCB waste from the Government. Return a signed copy to the Government before leaving the job site. Ensure that the manifest accompanies the PCB waste at all times. Submit transporter certification of notification to EPA of their PCB waste activities (EPA Form 7710-53).

#### 3.5.2.1 Certificate of Disposal and/or Recycling

40 CFR 761. Certificate for the PCBs and PCB items disposed shall include:

- a. The identity of the disposal and or recycling facility, by name, address, and EPA identification number.
- b. The identity of the PCB waste affected by the Certificate of Disposal including reference to the manifest number for the shipment.
- c. A statement certifying the fact of disposal and or recycling of the identified PCB waste, including the date(s) of disposal, and identifying the disposal process used.
- d. A certification as defined in 40 CFR 761.

### 3.5.3 Disposal by the Government

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**NOTE: Choose this option and subparagraphs if PCB waste transportation and disposal has been arranged with PWD/PWC and PCB waste is to be delivered to suitable storage site. Verify procedures with PWD/PWC. Omit paragraph when the Government will pick up PCB waste at the project site.**  
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Comply with disposal and transportation requirements outlined in 40 CFR 761 and 40 CFR 263. Load and haul PCBs to the storage site at [\_\_\_\_], operated by the Defense Reutilization and marketing Officer (DRMO). If the primary [\_\_\_\_] site is filled to capacity, contact the Contracting Officer. The transport distance to any storage site will not exceed the distance between the project site and the DRMO storage site at [\_\_\_\_].

#### 3.5.3.1 [Delivery] [Government Pick Up]

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NOTE: Choose the option for Government pick up if arrangements have been made for the Government to pick up the PCB waste at the project site. This will be required when DRMO does not have a suitable storage site and the PCB waste must be picked up by the Government's PCB disposal contractor.

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Contact DRMO at least 5 working days in advance to make arrangements for [delivery of PCB to the storage site.] [pick up of PCB waste by the Government.] Phone [\_\_\_\_] or write to:

Defense Reutilization and Marketing Office  
[\_\_\_\_]  
[\_\_\_\_]

#### 3.5.3.2 DD Form 1348-1

Prepare DD Form 1348-1 Turn-in Document (TID), which will accompany the PCB to the storage site. Ensure that a responsible person from the activity that owns the PCB signs the DD Form 1348-1.

-- End of Section --