
USACE / NAVFAC / AFCEC / NASA UFGS-03 37 13 (February 2018)

Preparing Activity: USACE

Superseding
UFGS-03 37 13 (November 2009)

UNIFIED FACILITIES GUIDE SPECIFICATIONS

References are in agreement with UMRL dated April 2023

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SECTION 03 37 13

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02/18

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SECTION 03 37 13

SHOTCRETE 02/18

NOTE: This guide specification covers the requirements for materials, proportioning, application, and curing of shotcrete.

Adhere to UFC 1-300-02 Unified Facilities Guide Specifications (UFGS) Format Standard when editing this guide specification or preparing new project specification sections. Edit this guide specification for project specific requirements by adding, deleting, or revising text. For bracketed items, choose applicable item(s) or insert appropriate information.

Remove information and requirements not required in respective project, whether or not brackets are present.

Comments, suggestions and recommended changes for this guide specification are welcome and should be submitted as a Criteria Change Request (CCR).

PART 1 GENERAL

NOTE: The content of this specification is such that guidance given in EM 1110-2-2005, STANDARD PRACTICE FOR SHOTCRETE, is applicable.

1.1 SCOPE

1.1.1 Work Specified

This Specification covers the requirements for shotcrete as specified by the Contracting Officer. Included are the requirements for materials; proportioning; and application of [structural] [nonstructural] [fiber-reinforced][wet-mixture][dry-mixture] shotcrete.

NOTE: The successful use of shotcrete in structural sections requires careful planning, forming, skill, and continuous care in application. The nozzle size and rate of feed should be limited as necessary to permit full nozzle control and produce a uniform, dense application, even in tight places. For this reason, selecting structural as opposed to nonstructural will provide greater quality of placement as prescribed in the paragraph titled "QUALIFICATIONS".

Dry-mix shotcrete is shotcrete in which most of the mixing water is added at the nozzle. Dry-mix is commonly used for placing mixtures containing lightweight aggregates or refractory materials. Wet-mix shotcrete is shotcrete in which all the ingredients, including water, are mixed before introduction into the delivery hose; compressed air is introduced to the material flow at the nozzle. Compared to dry-mix, wet-mix shotcrete gives better assurance that the mixing water is thoroughly mixed with other ingredients. The paragraph titled "MIXTURE PROPORTIONS" requires the water-cementitious materials ratio (w/cm) for wet-mixture shotcrete to be submitted. If you want to leave it up to the contractor to decide which type of shotcrete to use then delete both [wet-mixture][dry-mixture] options so the contractor is not limited.

Fibers can provide improved flexural and shear toughness, and impact resistance. For refractory shotcrete, stainless steel fibers increase resistance to thermal shock, temperature cycling damage, and crack development. Some specific applications where fiber-reinforced shotcrete can be cost effective are slope protection, ground support in tunnels and mines, concrete repair, swimming pools, thin shell configurations, and refractory applications such as boilers, furnaces, coke ovens, and petrochemical linings. Synthetic fibers may reduce the susceptibility of shotcrete to plastic shrinkage cracking. In addition to reducing plastic shrinkage, steel fibers can also improve flexural toughness. If fiber-reinforcing is selected, additional testing for fibers and shotcrete properties will be required. Additional qualifications described in the paragraph titled "QUALIFICATIONS" will also be required.

1.1.2 Unit of Measure

Unit of measure: [cubic meter yard][square meter feet].

1.2 REFERENCES

NOTE: This paragraph is used to list the publications cited in the text of the guide specification. The publications are referred to in the text by basic designation only and listed in this paragraph by organization, designation, date, and title.

Use the Reference Wizard's Check Reference feature when you add a Reference Identifier (RID) outside of the Section's Reference Article to automatically place the reference in the Reference Article. Also use the Reference Wizard's Check Reference feature to update the issue dates.

References not used in the text will automatically be deleted from this section of the project specification when you choose to reconcile references in the publish print process.

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

- ACI 117 (2010; Errata 2011) Specifications for Tolerances for Concrete Construction and Materials and Commentary
- ACI 301 (2016) Specifications for Structural Concrete

ASTM INTERNATIONAL (ASTM)

- ASTM C33/C33M (2018) Standard Specification for Concrete Aggregates
- ASTM C42/C42M (2020) Standard Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
- ASTM C78/C78M (2022) Standard Test Method for Flexural Strength of Concrete (Using Simple Beam with Third-Point Loading)
- ASTM C94/C94M (2022a) Standard Specification for Ready-Mixed Concrete
- ASTM C127 (2015) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Coarse Aggregate
- ASTM C128 (2022) Standard Test Method for Density, Relative Density (Specific Gravity), and Absorption of Fine Aggregate

ASTM C150/C150M	(2022) Standard Specification for Portland Cement
ASTM C171	(2020) Standard Specification for Sheet Materials for Curing Concrete
ASTM C231/C231M	(2022) Standard Test Method for Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C309	(2019) Standard Specification for Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C457/C457M	(2016) Standard Test Method for Microscopical Determination of Parameters of the Air-Void System in Hardened Concrete
ASTM C494/C494M	(2019; E 2022) Standard Specification for Chemical Admixtures for Concrete
ASTM C595/C595M	(2021) Standard Specification for Blended Hydraulic Cements
ASTM C618	(2023) Standard Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use in Concrete
ASTM C642	(2021) Standard Test Method for Density, Absorption, and Voids in Hardened Concrete
ASTM C685/C685M	(2017) Standard Specification for Concrete Made by Volumetric Batching and Continuous Mixing
ASTM C928/C928M	(2020a) Standard Specification for Packaged, Dry, Rapid-Hardening Cementitious Materials for Concrete Repairs
ASTM C989/C989M	(2022) Standard Specification for Slag Cement for Use in Concrete and Mortars
ASTM C1059/C1059M	(2021) Standard Specification for Latex Agents for Bonding Fresh to Hardened Concrete
ASTM C1064/C1064M	(2017) Standard Test Method for Temperature of Freshly Mixed Hydraulic-Cement Concrete
ASTM C1116/C1116M	(2010a; R 2015) Standard Specification for Fiber-Reinforced Concrete
ASTM C1140/C1140M	(2011; R 2019) Standard Practice for Preparing and Testing Specimens from Shotcrete Test Panels
ASTM C1141/C1141M	(2015) Standard Specification for

Admixtures for Shotcrete

ASTM C1157/C1157M	(2020a) Standard Performance Specification for Hydraulic Cement
ASTM C1218/C1218M	(2020c) Standard Test Method for Water-Soluble Chloride in Mortar and Concrete
ASTM C1240	(2020) Standard Specification for Silica Fume Used in Cementitious Mixtures
ASTM C1293	(2008; R 2015) Standard Test Method for Determination of Length Change of Concrete Due to Alkali-Silica Reaction
ASTM C1315	(2019) Standard Specification for Liquid Membrane-Forming Compounds Having Special Properties for Curing and Sealing Concrete
ASTM C1385/C1385M	(2010; R 2017) Standard Practice for Sampling Materials for Shotcrete
ASTM C1399/C1399M	(2010; R 2015) Standard Test Method for Obtaining Average Residual-Strength of Fiber-Reinforced Concrete
ASTM C1436	(2013) Standard Specification for Materials for Shotcrete
ASTM C1480/C1480M	(2007; R 2012) Standard Specification for Packaged, Pre-Blended, Dry, Combined Materials for Use in Wet or Dry Shotcrete Application
ASTM C1550	(2012) Standard Test Method for Flexural Toughness of Fiber Reinforced Concrete (Using Centrally Loaded Round Panel)
ASTM C1567	(2022) Standard Test Method for Potential Alkali-Silica Reactivity of Combinations of Cementitious Materials and Aggregate (Accelerated Mortar-Bar Method)
ASTM C1583/C1583M	(2013) Standard Test Method for Tensile Strength of Concrete Surfaces and the Bond Strength or Tensile Strength of Concrete Repair and Overlay Materials by Direct Tension (Pull-off Method)
ASTM C1602/C1602M	(2022) Standard Specification for Mixing Water Used in Production of Hydraulic Cement Concrete
ASTM C1604/C1604M	(2005; R 2012) Standard Test Method for Obtaining and Testing Drilled Cores of Shotcrete
ASTM C1609/C1609M	(2019a) Standard Test Method for Flexural

Performance of Fiber-Reinforced Concrete
(Using Beam with Third-Point Landing)

ASTM C1778

(2016) Standard Guide for Reducing the
Risk of Deleterious Alkali-Aggregate
Reaction in Concrete

1.3 DEFINITIONS

1.3.1 Accepted

Determined to be satisfactory by the Contracting Officer.

1.3.2 Contractor

The person, firm, or corporation with whom the Contracting Officer enters into an agreement for construction of the Work.

1.3.3 Fiber-Reinforced Shotcrete (FRS)

Shotcrete containing discontinuous discrete fibers.

1.3.4 Gun finish

Undisturbed final layer of shotcrete as applied from a nozzle without further finishing.

1.3.5 Overspray

Waste shotcrete material deposited away from intended receiving surface.

1.3.6 Permitted

Accepted by or acceptable to the Contracting Officer; usually pertains to a request by Contractor, or when specified in contract documents.

1.3.7 Predampening

In the dry-mixture process, adding water to the aggregate before mixing to bring its moisture content to a specified amount, usually 3 to 6 percent.

1.3.8 Rod finish

A sharp-edged cutting screed to be used to trim shotcrete forms or ground wires.

1.3.9 Shotcrete

Concrete or mortar conveyed through a hose and pneumatically projected at high velocity onto a surface to achieve compaction.

1.4 SUBMITTALS

NOTE: Review submittal description (SD) definitions in Section 01 33 00 SUBMITTAL PROCEDURES and edit the following list, and corresponding submittal items in the text, to reflect only the submittals required for the project. The Guide Specification

technical editors have classified those items that require Government approval, due to their complexity or criticality, with a "G." Generally, other submittal items can be reviewed by the Contractor's Quality Control System. Only add a "G" to an item, if the submittal is sufficiently important or complex in context of the project.

For Army projects, fill in the empty brackets following the "G" classification, with a code of up to three characters to indicate the approving authority. Codes for Army projects using the Resident Management System (RMS) are: "AE" for Architect-Engineer; "DO" for District Office (Engineering Division or other organization in the District Office); "AO" for Area Office; "RO" for Resident Office; and "PO" for Project Office. Codes following the "G" typically are not used for Navy, Air Force, and NASA projects.

The "S" classification indicates submittals required as proof of compliance for sustainability Guiding Principles Validation or Third Party Certification and as described in Section 01 33 00 SUBMITTAL PROCEDURES.

Choose the first bracketed item for Navy, Air Force and NASA projects, or choose the second bracketed item for Army projects.

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are [for Contractor Quality Control approval.][for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government.] Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

[Preconstruction Testing]

Testing During Construction; G[, [____]]

Aggregate

Water

Mixture Proportions; G[, [____]]

Repair Of Shotcrete; G[, [____]]

Resistance To Alkali-Silica Reaction

SD-07 Certificates

Qualifications; G[, [____]]

Cement

Supplementary Cementitious Materials

Admixtures; G[, [_____]]

[Fibers]

Reinforcement

Curing Materials

Testing Agency; G[, [_____]]

1.5 QUALIFICATIONS

Submit qualifications and experience of the proposed workers including the supervisor, nozzlemen, and crew. For structural or FRS shotcrete, submit evidence of ACI certification of nozzlemen proposed for the Work.

NOTE: Requirements of the paragraphs titled
"Structural Concrete" and "Nonstructural Concrete"
are determined by the selection made in the
paragraph titled "Work specified". Structural
shotcrete and FRS require careful planning, forming,
skill, and continuous care in application, hence why
ACI certification is required.

1.5.1 Structural Concrete

Submit proof of experience for the Contractor and the shotcrete crew foreman to include at least five projects of similar size and complexity. Include a description of previous project's size, density of reinforcing materials, and volume of shotcrete placed.

1.5.2 Nonstructural Concrete

Submit proof that the Contractor and crew foreman have at least 3 years' experience in that type of shotcrete application.

1.6 [PRECONSTRUCTION TESTING]

NOTE: It is not practical to conduct laboratory
trial mixtures for dry-mix shotcrete. There are also
problems in duplicating as-shot conditions for the
wet-mix shotcrete. Therefore, field trials and
preconstruction testing, should be used for
qualifying mixture proportions.
Preconstruction testing procedures using the
personnel, materials, and equipment to be used on
the project are outlined in this paragraph Tests
should be conducted under similar conditions
expected to be experienced in the actual
application.

1.6.1 [General Requirements]

- a. [Testing of materials required as part of the preconstruction program must be conducted by the Contractor's [testing agency](#). Agency selection must be acceptable to the Contracting Officer.
- b. Notify the Contracting Officer of the time and place of preconstruction testing and provide the Contracting Officer with copies of testing reports.
- c. Construct preconstruction test panels for examination by the Contracting Officer prior to project shotcrete placement. Perform preparation and testing in compliance with [ASTM C1140/C1140M](#). Provide mixture proportions meeting the requirements of the paragraph titled "MIXTURE PROPORTIONS".
- d. Construct test panels for each proposed shotcrete mixture, each anticipated shooting orientation, and each proposed nozzleman.
- e. Testing required as part of the preconstruction test program must be provided by the Contractor's testing agency.
- f. Test specimens cored or sawed from the panels for compliance with the specified compressive strength in accordance with [ASTM C1604/C1604M](#).
- g. [Provide flexural strength in accordance with [ASTM C78/C78M](#) with beams obtained by [ASTM C42/C42M](#)]

NOTE: Test flexural properties using ASTM C78/C78M when FRS is not specified. Welded-wire fabric has commonly been used in shotcrete tunnel linings to provide ductility to the shotcrete lining. welded-wire reinforcement is increasingly being replaced by fibers. When fibers are used in panels, delete this provision and use the one below.

- g. [Test flexural strength, toughness, and other flexural properties using samples from test panels in accordance with the requirements of the paragraph titled "flexural strength and properties". Obtain beams by [ASTM C42/C42M](#)][_____]

NOTE: This requirement applies to Fiber-reinforced shotcrete (FRS). See the paragraph titled "Flexural strength and properties" for details on test methods used for flexural and FRS

- h. [Prepare additional panels with the specified reinforcement. Core panels in accordance with [ASTM C1140/C1140M](#). Provide cores containing reinforcement to the Contracting Officer for visual examination to determine acceptance. Provide cores for examination with a minimum diameter of [95 mm](#) [3.75 in](#) and are the full thickness of the panel.]

NOTE: In preconstruction testing only, additional panels could be constructed with reinforcement. The

reinforcement should be constructed to represent the reinforcement that will be used in the actual structure.

- i. If the initial prequalification test panel is rejected, a second panel may be shot and tested. If this panel is acceptable, Work may proceed. If the second panel is not acceptable, change procedures, mixture proportions, nozzlemen, or shotcrete equipment as necessary before repeating the preconstruction testing. Do not proceed with Work until preconstruction test results are satisfactory to Contracting Officer.]

1.6.2 [Preplacement Verification]

- a. [Provide forms that are to line and grade and have adequate support to remain rigid during shooting.
- b. The formwork, substrata preparation, and cleanliness must be the same as specified herein.
- c. The reinforcement type, size, grade, amount, placement, cleanliness, and other requirements must be the same as specified [herein][the same size and spacing as the existing structure].
- d. The placement of and clearance around reinforcement must permit complete encasement of reinforcement with shotcrete.
- e. The mixture proportions must be the same as the approved submittal [and need not include admixtures].]

NOTE: Requirement e could also include admixtures, refer the paragraph titled "Admixtures" for this option.

1.7 TESTING DURING CONSTRUCTION

1.7.1 Quality Assurance

Provide submittals for the "Quality Control Plan", "Quality Control Personnel Certifications", and Laboratory Qualifications for Concrete Qualification Testing per section 03 30 00 CAST-IN-PLACE CONCRETE.

1.7.2 Testing Shotcrete

- a. Compressive strength samples: [Obtain test specimens from job-site test panels.][Use in-place shotcrete test specimens.][_____]

NOTE: Test samples can be obtained from test panels (1st choice) or cored from the structure being built with shotcrete (2nd choice)

- b. Test samples: Sample shotcrete in accordance with ASTM C1385/C1385M. The Contractor is responsible for the curing and protection of test panels on site prior to the time that they are transported to the testing agency's laboratory.

- c. [Only use test panels for flexural strength, flexural parameter, or toughness requirements.][_____]
- d. Air content of mixture: For wet-mixture shotcrete test air content at discharge from the truck chute in accordance with **ASTM C231/C231M** prior to placement.
[For dry-mix shotcrete, test air content in hardened concrete using **ASTM C457/C457M**][_____]

NOTE: Specifying air entrainment for dry-mixture shotcrete is not common but has been satisfactorily used for severe freezing-and-thawing exposures. For dry-mix shotcrete, air content is tested on hardened concrete.

- e. Temperature of shotcrete mixture: Determine the temperature of the mixture using material sampled prior to discharge from the truck chute into the pump for wet-mixture shotcrete or the shotcrete machine for dry-mix shotcrete. Complete testing in accordance with **ASTM C1064/C1064M**.

1.7.3 [Test Panels]

- a. [Construct a test panel for each mixture, each nozzleman, and each work day or for every [38 cubic meter 50 cubic yard][185 square meter 2000 square feet][_____] placed, whichever results in the most panels. The face dimensions of a test panel must be a minimum of 400 x 400 mm 16 x 16 in. with a minimum depth of 125 mm 5 in. For toughness testing in accordance with **ASTM C1550**, the face dimension must be 800 mm 30.5 in. in diameter and 75 mm 3 in. thick. The test panels will be shot in a [vertical][_____] orientation.

NOTE: A test panel could be fabricated for each shooting position to be encountered in the structure such as horizontal, vertical, or overhead. If this is needed, then add those shooting positions to this paragraph

- b. Condition test panels in accordance with **ASTM C1140/C1140M** until transported to the testing agency's laboratory.
- c. Obtain test specimens from test panels using procedures outlined in **ASTM C1140/C1140M** or **ASTM C1604/C1604M**. Cores will be a nominal 75 mm 3 in. diameter.
- d. Test shotcrete specimens for compliance in accordance with **ASTM C1604/C1604M** for compressive strength.
- e. [Test boiled absorption and volume of permeable voids in accordance with **ASTM C642**.][_____]
- f. [Test flexural parameters in accordance with methods specified in the paragraph titled "flexural strength and properties"][_____]

1.7.4 [Testing In-Place Shotcrete]

- a. [Obtain core specimens from locations designated by the Contracting Officer in accordance with [ASTM C1140/C1140M](#).

NOTE: Cores from the actual structure should be obtained and tested when the contracting officer suspects a lower strength or deficiency in quality. Test panels are the main quality control/assurance method; obtaining and testing cores are not typically done, unless the placement is in doubt.

- b. [Condition test specimens by soaking as specified in [ASTM C1604/C1604M](#).]
- c. Test specimens in accordance with the paragraph titled "Testing Shotcrete".]

1.7.5 [Testing Shotcrete Bond to Substrate]

[Conduct bond testing of the shotcrete to the substrate in accordance with [ASTM C1583/C1583M](#).]

1.7.6 Reporting of Quality Assurance Test Results

Provide copies of any test results generated for quality assurance to the Contractor, Contracting Officer, and concrete supplier.

1.7.7 Action Required for Shotcrete Defects

Submit [repair of shotcrete](#) procedures for defects for the Contracting Officer's acceptance. Refer to the paragraph titled "REPAIR OF SHOTCRETE" for details.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 [Cement](#)

Provide cement in compliance with [[ASTM C150/C150M](#) Type I][[ASTM C595/C595M](#)][[ASTM C1157/C1157M](#)][_____].

Submit cement test reports showing manufacturing location, and compliance with applicable ASTM standards.

NOTE: Most shotcrete is produced with Type I or I-II cements conforming to ASTM C150 or ASTM C595. Other cementitious materials, such as blended hydraulic cements, should meet ASTM C1157. The type of cement used for a structure must consider the exposure requirements of the concrete being placed. Refer to ACI 318 or ACI 350 material durability requirements to determine cement requirements or supplementary cementitious requirements discussed in paragraph titled "Supplementary cementitious materials".

2.1.2 Supplementary Cementitious Materials

Provide supplementary cementitious materials (SCMs) complying with [ASTM C618 for fly ash and natural pozzolans] [ASTM C989/C989M for slag cement] [ASTM C1240 for silica fume].
[The types and dosages of supplementary cementing material must meet ACI 301 requirements][____].
[[A minimum of] [____] percent of [____] is required as supplementary cementing material][____].
Submit supplementary cementitious materials types, test reports showing manufacturing location, and compliance with applicable ASTM standards.

NOTE: SCMs can be used in shotcreting. SCMs can enhance workability or pumpability of some wet-mix shotcrete. They may provide more resistance to sulfate attack and to alkali-silica reactivity if reactive aggregates are used. The use of SCMs on an equal weight replacement for cement may result in slower early strength gain. Natural pozzolans and fly ash should meet the requirements of ASTM C618. Other pozzolans should meet the appropriate ASTM specifications. Both silica fume and metakaolin should meet the requirements of ASTM C1240.

Slag cement should meet the requirements of ASTM C989. There are three grades of slag. Generally, higher-grade slag will be finer and have greater strength development.

Silica fume comes in three forms: slurry, undensified, and densified. All three forms are acceptable for use in shotcrete. When using slurry, the water portion of the slurry should be compensated for in the w/cm; that is, the water in the slurry counts as mixing water for both dry-mix and wet-mix shotcrete. Undensified silica fume is mainly used in premixed dry-bag shotcrete products. Densified fume is best used in wet-mix shotcrete.

Specify if the SCM limits meet ACI 301 (Table 4.2.1.1) requirements or other alternative specifications.

Specify the type (Class F, or C fly ash; slag cement; silica fume; or other SCMs) and the minimum percent cement replacement requirements based on ACI 318 or ACI 350 requirements (if required).

2.1.3 Aggregate

Aggregates must comply with [ASTM C33/C33M][____] for [normal weight][____] aggregates. The combined aggregate gradation must comply with [grading No. 2 of ASTM C1436][____].
Submit aggregate source, producers' names, gradations, specific gravities, compliance with [ASTM C33/C33M][____], and evidence that this data is not more than 1 year old.
[Submit aggregate absorption in accordance with ASTM C127 for coarse aggregate and ASTM C128 for fine aggregate.]

NOTE: Aggregate absorbs water, which reduces the plasticity of the mixture. Specify when aggregate absorption testing is required. The absorption values may be used to correct for absorbed moisture in the calculation of w/cm. For more details refer to ACI 211.1, ASTM C127/127M, and ASTM C128/128M.

2.1.4 Water

[Water must be potable][Water must be potable or nonpotable and comply with ASTM C1602/C1602M requirements. Wash water is not permitted unless accepted by Contracting Officer.][_____] [For nonpotable water, submit the source and reports confirming compliance with ASTM C1602/C1602M.]

2.1.5 Admixtures

Provide admixtures in compliance with ASTM C1141/C1141M or, for hydration control admixtures, with ASTM C494/C494M.[The following admixtures are also permitted [_____] [_____].

Submit admixture types, brand names, producers, manufacturer's technical data sheets describing technical properties and performance in shotcrete and showing compatibility with each other and the project cementitious materials.

[Assess admixture in the prequalification test program described in the paragraph titled "PRECONSTRUCTION TESTING".]

NOTE: Specify limits and types of other acceptable admixtures if needed or specify maximum dosages if important.
Admixtures may be used in shotcrete construction to enhance certain shotcrete properties for special shotcrete applications and for certain conditions of shotcrete placement. Admixtures in shotcrete should be tested before large-scale use (during prequalification testing) to determine that the expected advantages can be obtained. Admixtures for shotcrete generally fall into the categories of accelerators, air-entrainers, water-reducers, and retarders.
Calcium chloride accelerators are not recommended for reinforced shotcrete structures. Refer to tables in ACI 201.2R and ACI 318 or ACI 350 for limits. If specified, calcium chloride should conform to ASTM D98.

2.1.6 [Fibers]

[Use the following types, material, and sizes of fibers:[_____]]. Provide FRS conforming to ASTM C1116/C1116M.

Submit compressive strength test results and, flexural strength and flexural parameters as specified in the paragraph titled "flexural strength and properties". Submit manufacturer's technical data sheets and data on fiber material, length or lengths, and fiber content used for the mixture.

NOTE: As discussed in the paragraph titled "work specified" notes, fibers can enhance the properties of shotcrete. The available types of fibers include steel, glass, synthetic, and natural fibers.

To select the type of fiber required for a job, obtain information about the fiber materials available for shotcrete in the designated area. Knowing what materials are available and the desired properties, follow the recommendations of ACI 506R, ACI 506.1 and ACI 544.1 to select the fiber to be used on the job.

2.1.7 Reinforcement

Follow the requirements included in the contract documents [as well as] [03 30 00 CAST-IN-PLACE CONCRETE] [_____] for non-fiber types of reinforcement.

Submit mill certificate showing conformance for reinforcing steel or welded wire reinforcement.

2.1.8 Curing Materials

2.1.8.1 Sheet Materials

Provide sheet materials for curing in compliance with ASTM C171.

2.1.8.2 Curing Compounds

Provide curing compounds in compliance with ASTM C309 or ASTM C1315. Provide volatile organic compounds (VOC) content in compliance with local air quality standards if those requirements are more stringent.

2.1.8.3 Architectural Finishes

Do not use curing materials that cause stains for shotcrete having an architectural finish.

2.1.9 [Packaged Shotcrete Materials]

[Provide packaged, preblended, dry combined materials complying with [ASTM C1480/C1480M][_____].]

NOTE: Select this option to allow packaged Shotcrete Materials. Also, specify any standards, requirements, or limitations for those materials

2.2 SHOTCRETE PROPERTIES

2.2.1 Compressive Strength

The required compressive strength is [_____].

NOTE: Specify the required compressive strength of concrete based on the design requirements. Per ACI 506.2, the 28-day compressive strength must not be less than 4000 psi (28 MPa). Assess the compressive strength in accordance with ACI 301.

[2.2.2 Flexural Strength and Properties

[The required flexural strength is [_____].] Use [ASTM C78/C78M][ASTM C1609/C1609M][_____] to test flexural strength.

The required residual strength using [ASTM C1609/C1609M][ASTM C1399/C1399M][_____] is [_____].

[The required toughness per [ASTM C1550][_____] is [_____].]
[_____]

NOTE: In the first part of this paragraph specify the flexural strength value and test method required. Per ACI 506.2, flexural strength must not be less than 400 psi (2.8 MPa) at 28 days for FRS. ASTM C78/C78M is used for non-fiber reinforced concrete while ASTM C1609/1609M is a test method used for evaluating strength and other flexural parameters of FRS.

If you are specifying FRS, then specify your project requirements for residual strength, flexural toughness, or other mechanical properties if needed. For more information on test methods, properties, and requirements for FRS and fiber-reinforced concrete (FRC) in general, refer to ACI 544.9R and ACI 506.1R.

]2.2.3 Water-Cementitious Ratio

The maximum w/cm based on project service conditions is [_____].

NOTE: Specify the maximum w/cm based on project service conditions. Refer to ACI 318 or 350 to determine project requirements. Guidance regarding freezing and thawing can be obtained from ASTM C33/C33M.
Note that paragraph titled "MIXTURE PROPORTIONS", only requires the submittal of water-cementitious materials ratio (w/cm) for wet-mixture shotcrete. Delete this paragraph for dry-mix shotcrete.

]2.2.4 Air Content

The percent air content of concrete is [_____] plus or minus (\pm) 1.0 percent.

NOTE: Air entrainment may be required where the shotcrete is exposed to freeze/thaw, seawater, or deicing salts. Specify minimum air void parameters based on project service conditions. Refer to ACI 318 or ACI 350 to determine project requirements. Guidance on the need for air entrainment to protect against freezing and thawing can be found in ASTM C33/C33M. Also, specify the frequency of air testing in the paragraph titled "Testing Shotcrete".

Per ACI 506.2, shotcrete placement reduces entrained air content such that shotcrete with a 7 percent or higher air content prior to shooting will have an entrained air content of approximately 3 to 4 percent after placement. Wet-mixture shotcrete exposed to moderate or severe freezing-and-thawing conditions should have an entrained air content of at least 6 to 7 percent prior to shooting. Obtaining greater than 4 percent air in the in-place material is difficult. For wet-mix shotcrete, air content is tested in plastic concrete.

Specifying air entrainment for dry-mixture shotcrete is not common but has been satisfactorily used in severe freezing-and-thawing exposures. For dry-mix shotcrete, air content is tested on hardened concrete using methods such as Petrographic Examination.

]2.2.5 Chloride Content

[The maximum water-soluble chloride ion content based on project service conditions is [_____]. Determine chloride content per [ASTM C1218/C1218M].]

NOTE: For corrosion protection of the reinforcement in the shotcrete, the maximum water-soluble chloride-ion concentration in hardened shotcrete should not exceed a certain limit. This limit is based on the type of reinforcement used. Refer to ACI 318 or ACI 350 for recommended limits.

]2.2.6 Voids and Absorption Properties

Measure the voids and absorption properties using [ASTM C642][_____] and do not exceed [_____].

Provide test reports for shotcrete boiled absorption and volume of permeable voids showing compliance with the specified properties.

NOTE: The absorption test (ASTM C642) may be conducted on hardened shotcrete to provide an

overall indication of the quality of the shotcrete, especially in dry-mix shotcrete where the results are largely influenced by the w/cm. The absorption value and the volume of permeable voids are useful in identifying poorly compacted shotcrete or shotcrete with a weak or damaged microstructure.

Per ACI 506.2, typical values for quality shotcrete are a Maximum of 8 percent boiled absorption and 15 percent volume of permeable voids. Results vary depending on the absorptive characteristics of the aggregate. Lightweight aggregate has high absorption. The absorption of a shotcrete specimen is usually proportional to its w/cm. A low w/cm will yield a relatively low volume of permeable voids or low absorption values, which is an indication of a good quality shotcrete. A mixture shot too dry, however, will yield a relatively high volume of permeable voids or high absorption values due to the stiffness of the plastic shotcrete. Impact velocity is another important parameter that influences the porosity of the hardened shotcrete. Insufficient impact velocity will not provide adequate compaction, resulting in high permeability and high absorption values.

ASTM C457/C457M can also be used to determine parameters of the air-void system in hardened concrete.

][2.2.7 Bond Strength

Perform testing for bond strength on a minimum of three core samples and the strength is the average of all samples. The average bond strength is [_____].

NOTE: Bond strength is usually measured by shear or direct tension using a pull-off test (ASTM C1583/C1583M). Properly applied shotcrete with sufficient consolidation on a properly prepared substrate usually develops bond strength of over 145 psi (1 MPa).

][2.2.8 Resistance to Alkali-Silica Reaction

[Use one of the three options below for qualifying concrete mixtures to reduce the potential of alkali-silica reaction.]

- a. For each aggregate used in concrete, do not exceed 0.04 percent at 1 year for the expansion result determined in accordance with ASTM C1293.
- b. For each aggregate used in concrete, do not exceed 0.10 percent at an age of 16 days for the expansion result of the aggregate and cementitious materials combination determined in accordance with ASTM C1567.
- c. Alkali content in concrete must not exceed 2.35 kilograms per cubic

meters 4 pounds per cubic yard for moderately reactive aggregate or 1.78 kilograms per cubic meters 3 pounds per cubic yard for highly reactive aggregate. Determine reactivity determined by testing in accordance with ASTM C1293 and categorized in accordance with ASTM C1778.

12.3 MIXTURE PROPORTIONS

Proportion shotcrete mixture [by mass complying with ASTM C94/C94M][by volume complying with ASTM C685/C685M], to satisfy the specified properties. For FRS, proportion in compliance with ASTM C1116/C1116M. Submit shotcrete mixture proportions. Show constituent proportions by mass in the case of batching by weight or proportions by volume in the case of volumetric batching in submittals. For prepackaged materials meeting ASTM C1480/C1480M, submit suppliers' technical data showing compliance with requirements. Submit water-cementitious materials ratio (w/cm) for wet-mixture shotcrete only.

NOTE: Shotcrete mixtures are usually proportioned in accordance with ASTM C94/C94M or C685/C685M to attain a specified compressive strength. The main reasons for variations of in-place strength are the nature of the shotcrete process, type of delivery equipment, and quality of workmanship. This is especially true of dry-mix shotcrete, where the nozzleman is not only responsible for the proper placement technique but also regulates and controls the water content—a variable that can cause fluctuations in strength and durability. There is no recognized rational method of proportioning dry-mix shotcrete for strength or durability. Water is injected into the preproportioned cementitious material mixture stream at the nozzle by the nozzleman, so it is not possible to design dry-mix shotcrete based on w/cm. Dry-mix shotcrete has been proportioned based by weight, typically having a cementitious material to aggregate ratio of 1:4. On the other hand, wet-mix shotcrete is typically proportioned using volumetric methods such as ACI 211.1 (just like regular types of concrete). Refer to ACI 506R for more details on proportioning shotcrete.

2.4 BATCHING, MIXING, AND DELIVERY

Batch, mix, and deliver wet-mixture shotcrete in accordance with ASTM C94/C94M, ASTM C685/C685M, or ASTM C1116/C1116M as applicable. For dry-mixture, batch, mix and deliver in accordance with ASTM C685/C685M or ASTM C1116/C1116M.

Use predampening or other methods suitable for prewetting the dry materials with packaged preblended material for dry-mixture shotcrete.

PART 3 EXECUTION

3.1 PREPARATION OF SURFACE TO RECEIVE SHOTCRETE

3.1.1 Earth

Prepare surfaces to line and grade. Dampen surfaces immediately prior to shooting. Standing water is not acceptable.

3.1.2 Concrete, Masonry, and Shotcrete

Remove all deteriorated, loose, unsound material or contaminants that will inhibit bonding. Dampen receiving surface and allow to dry to a saturated surface-dry (SSD) condition just prior to shotcrete application. Further surface preparations include:

- a. Chipping surfaces to receive shotcrete to remove offsets causing abrupt changes in thickness.
- b. Roughening receiving surfaces that have been saw cut.

3.1.3 Rock

Remove loose material, mud, or other foreign material that will inhibit bonding. Clean surface prior to shotcrete placement. Dampen surface in accordance with the paragraph titled "Earth".

3.1.4 Reinforcement

3.1.4.1 Surface Condition

The surface of the reinforcement must be free of overspray or other deleterious materials that inhibit development of bond with the shotcrete.

3.1.4.2 Reinforcement Laps

Laps must be noncontact and [separate with a clearance of at least three times the diameter of the largest reinforcing bar; three times the maximum size aggregate; or 50 mm 2 in., whichever is least][_____]. Do not space bars spliced by noncontact lap splices in flexural members transversely farther apart than [the smaller of 1/5 the required lap splice length and 150 mm 6 in.][_____]. The use of contact lap splices necessary for support of the reinforcing is permitted when approved by the Contracting Officer. Place lapped bars in the same plane and parallel to the direction of shooting. Welded splices are permitted. Secure reinforcement to prevent movement. The use of mechanical splices is permitted when approved.

3.1.5 Forms

Use material of adequate thickness for formwork to resist movement during shooting. Reinforce, secure, and brace forms to minimize the effects of vibration during shooting. Construct forms to allow escape of placement air, overspray, and rebound. Use form-release coating material on removable forms unless the formed surface is to subsequently receive an additional coating.

3.2 JOINTS

3.2.1 Construction Joints

Taper construction joints at approximately 45 degrees from receiving surface. Form joints by cutting plastic shotcrete. Make joints at slab intersections at 90 degrees. Roughen shotcrete in the joint face while it is still plastic.

3.2.2 Control Joints

Place control joints as indicated in the Contract Document [Discontinue reinforcement at control joints] [_____].

3.3 ALIGNMENT CONTROL

To establish thickness and plane of required surface, install taut ground wires or other means to guide the nozzleman. Install alignment control means at corners or offsets not established by forms.

3.4 APPLICATION

3.4.1 Placement Techniques

- a. [Use the same shotcrete mixture and equipment that was used during prequalification testing for the production shotcrete.]
- b. Use temporary coverings to protect adjacent surfaces from the deposit of overspray or impact from the nozzle stream.
- c. Install sufficient lighting and ventilation to provide the shotcrete crew with a clear view of the shooting area. Suspend Work and adopt corrective measures if visibility is unsuitable for the application of quality shotcrete.
- d. Provide a working surface that permits nozzle men unobstructed access to the receiving surface. Place shotcrete first in corners, recesses, and other areas where rebound or overspray cannot easily escape.
- e. Supply shotcrete material and air pressure at the nozzle uniformly, providing a steady, continuous flow of shotcrete with no detrimental surging or pulsing. Maintain the velocity and consistency of shotcrete exiting the nozzle at a uniform rate appropriate for the given job conditions so that satisfactory material consolidation and minimum rebound is achieved.
- f. Place shotcrete perpendicular to the receiving surface with the nozzle held at such a distance to produce maximum consolidation of the shotcrete and full encapsulation of the reinforcement.
- g. Shoot dry-mixture shotcrete material within 45 min after batching or, in the case of prepackaged material, within 45 min after predampening. Shoot wet-mixture shotcrete material within the time limits in [ASTM C94/C94M](#).
- h. Apply shotcrete using a circular or elliptical motion of the nozzle while building the required thickness.
- i. Use sufficient material velocity, material consistency, and distance

from the end of the nozzle to the receiving surface to produce maximum consolidation of the shotcrete and full encapsulation of the reinforcing steel.

- j. In corners, direct the nozzle to bisect the corner angle. Apply shotcrete so sagging or sloughing does not occur. Where there is potential for accumulated rebound or overspray material to be incorporated into the Work at congested areas of steel reinforcement, embedded obstructions, corners, and recesses, use a compressed air blow pipe to remove loose material from the Work.
- k. Discontinue placement of shotcrete or shield the nozzle stream if wind causes separation of ingredients in the nozzle stream.
- l. Do not reuse rebound or overspray in the Work.
- m. Remove laitance from shotcrete surfaces that are to receive additional shotcrete layers.
- n. Surface preparation prior to the shooting of shotcrete must comply with [the paragraph titled PREPARATION OF SURFACE TO RECEIVE SHOTCRETE][_____].

**NOTE: For repair jobs, refer to the section on
repairs for surface preparation.**

- o. Do not apply shotcrete to surfaces with standing or flowing water.
- p. Remove hardened overspray and rebound from adjacent surfaces, including exposed reinforcement.

3.4.2 Intermediate Surfaces

- a. When applying more than one layer of shotcrete, use a cutting rod, brush with a stiff bristle, or other suitable equipment to remove all loose material, overspray, laitance, or other material that may compromise the bond of the subsequent layer of shotcrete. Conduct removal immediately after shotcrete reaches initial set.
- b. Allow shotcrete to stiffen sufficiently before applying subsequent layers. If shotcrete has hardened, clean the surface of all loose material, laitance, overspray, or other material that may compromise the bond of subsequent layers. Bring the surface to a saturated surface-dry condition at the time of application of the next layer of shotcrete.

3.4.3 Encasement of Reinforcement

- a. Place shotcrete to encase reinforcement and other embedments, and provide a minimum cover of [_____].

**NOTE: Refer to ACI 318 and ACI 350 for concrete
cover requirements**

- b. Adjust air volume, material feed volume, and distance of the nozzle

from the Work as necessary to encase reinforcement.

- c. Keep the front face of the reinforcement clean during shooting operations so that shotcrete builds up from behind to encase the reinforcement without the formation of shadows or voids.
- d. Continuously remove accumulations of rebound and overspray using a compressed air blowpipe, or other suitable device, in advance of deposition of new shotcrete.

3.4.4 Hot Weather Shotcreting

Do not place shotcrete when shotcrete temperature is above [35°C 95°F][____], unless PREQUALIFICATION TESTING shows that the required quality of materials can be achieved at higher temperatures. A temperature of reinforcement and receiving surfaces greater than [32°C 90°F][____] prior to shotcrete placement is prohibited.

NOTE: If hot weather concrete needs to be considered, then a separate specification that covers hot weather concrete such as ACI 305.1 should be included in the project.

3.4.5 Cold Weather Shotcreting

Shooting may proceed when ambient temperature is [4°C 40°F][____] and rising. Stop shooting when ambient temperature is [4°C 40°F][____] and falling, unless measures are taken to protect the shotcrete. Shotcrete material temperature, when shot, less than [10°C 50°F][____] is prohibited. Do not place shotcrete against frozen surfaces.

NOTE: If cold weather concrete needs to be considered, then a separate specification that covers cold weather concrete such as ACI 306.1 should be included in the project.

3.5 FINISH

[3.5.1 Gun Finish

Leave finished shotcrete surface as gun finish.

] [3.5.2 Cutting Screed

After the surface has taken its initial set (crumbling slightly when cut), slice off excess material outside the forms and ground wires with a downward cutting motion using a sharp-edged cutting screed. Then remove ground wires and float the irregularities.

] [3.5.3 Flash Coat

Apply a thin coat of shotcrete containing finer sand applied from a distance greater than normal to the surface as soon as possible after the screeding.

]3.5.4 Float and Trowel Finish

Provide final surface finish using [wood float] [rubber float] [steel trowel]. Avoid troweling of thin sections of shotcrete unless both troweling and commencement of moisture curing take place within a relatively short period after placement of shotcrete.

]3.5.5 Fiber-Reinforced Shotcrete

NOTE: Include this paragraph if the exposed fibers pose a safety hazard.

Finish the outer surface of the structure with a layer of nonfiber-reinforced shotcrete and provide an appropriate finish as denoted.

]3.6 CURING

When the daily mean temperature is above 4°C 40°F, cure continuously for a minimum of 7 consecutive days or for the time necessary to attain 70 percent of the specified compressive or flexural strength, whichever period is less.

If shotcrete is placed with daily mean temperatures 4°C 40°F or lower, provide cold weather protection until the shotcrete achieves 70 percent of the specified strength.

Complete moist curing by one of the following methods:

- a. Ponding or continuous sprinkling for a minimum of 7 days;
- b. Covering with an absorptive mat or sand that is kept continuously wet;
- c. Covering with impervious sheet material;
- d. Use of curing compounds; apply twice the rate for formed surfaces as recommended by manufacturer if the surface is a gun finish.

Do not use natural curing in lieu of that specified in this paragraph unless the relative humidity of the air in contact with the shotcrete remains at or above 85 percent and such curing is authorized by Contracting Officer.

Submit curing materials and curing procedures for shotcrete including product data sheets indicating conformance with specification requirements.

3.7 PROTECTION

Immediately after placement, protect shotcrete from premature drying or excessively hot or cold temperatures and mechanical injury.

Maintain shotcrete protection to prevent freezing of the shotcrete and to ensure the necessary strength development for structural safety. Remove protection in such a manner that the maximum decrease in temperature measured at the surface of the shotcrete in a 24-hour period does not exceed the following:

- a. 10°C 50°F for sections less than 300 mm 12 in. in the least dimension;
- b. 4°C 40°F for sections from 300 to 900 mm 12 to 36 in. in the least dimension.

Protect surfaces not intended for shotcrete placement against deposit of rebound and overspray or impact from nozzle stream.

[3.8 TOLERANCES

Provide dimensional tolerances of shotcrete in compliance with [ACI 117]
[the contract document][_____]

NOTE: ACI 117 provides a guide for construction tolerances of concrete structures. Although shotcrete is concrete, ACI 117 specifically excludes shotcrete.

Tolerances provide an indication of the finished product expected by the owner, but meeting tolerances may require additional effort and cost. Tolerances given by ACI 117.1R, for placement of reinforcing steel, cover over reinforcing steel, and overall alignment of cast-in-place structural members should be generally the same for shotcrete. Tolerances that require distinct values for shotcrete construction are cross-sectional dimensions, cover, and surface finish (or flatness). Therefore, specifying tolerances that can be consistently achieved are needed so that project expectations can be met at a reasonable cost. Specified tolerances should be based on use and function and can be the same as concrete, but are typically broader. Some finished surface tolerances may be waived to achieve proper coverage over existing reinforcement.

For some structures, such as tunnels, only cover thickness is required and tolerances are not specified unless project-specific requirements dictate. Sometimes shotcrete tolerances are increased by a factor of 2 from those in ACI 117. Refer to ACI 506R for more details on shotcrete tolerances. Refer to ACI 318 or ACI 350 to determine project requirements.

]3.9 REPAIR OF SHOTCRETE

3.9.1 General

- a. Submit repair procedure for shotcrete defects for the Contracting Officer's acceptance. Include proposed materials, surface preparation, bonding procedures, and final surface finish.
- b. Remove voids, shadows, sagging, or other defects in the hardened shotcrete using light-duty chipping hammers [maximum 8 kg 18 lb][_____] followed by high-pressure water blasting or grit blasting to remove bruised shotcrete surface.
- c. Conduct removal of defective shotcrete without the creation of feather edges.

NOTE: Edges of the repair area should not be feather-edged. Common methods used for edge preparation include saw-cutting, chipping, grinding, sandblasting, hydro-milling, or other means to a depth of 1/2 to 1 in. (1.25 to 2.5 cm) normal to the surface of the member. Note: if saw-cutting or grinding is used, care should be taken to ensure reinforcing steel is not cut or damaged

- d. In the repair of core hole surfaces and saw cut edges, roughen the core hole or cut surface and predampen prior to repair.

3.9.2 Shotcrete Repair with Commercial Patching Products

Repair shotcrete with commercial patching products, including:

- a. Portland cement mortar, modified with a latex bonding agent conforming to ASTM C1059/C1059M, Type II;
- b. Packaged, dry concrete repair materials conforming to ASTM C928/C928M.

3.9.3 Removal of Stains, Rust, Efflorescence, and Surface Deposits

Remove stains, rust, efflorescence, and surface deposits considered objectionable by Contracting Officer by methods acceptable to the Contracting Officer.

3.10 ACCEPTANCE OF WORK

3.10.1 General

- a. Remove and replace defective areas [larger than 31,000 square mm 48 square inches or 50 mm 2 inches deep][_____]. Defects in shotcrete include honeycombing, laminations, dry patches, voids, or sand pockets. Remove defective areas in accordance with the procedures described in paragraph titled "REPAIR OF SHOTCRETE".

NOTE: Specify acceptance criteria for degree of reinforcement encasement or severity of defects. Shotcrete that exhibits laminations and voids, exceeding the specified quality, should be removed, replaced, or repaired. ACI 506.4R provides guidance for engineers, inspectors, contractors, and others involved in accepting, rejecting, or evaluating in-place dry-mix or wet-mix shotcrete.

- b. Shotcrete Work that meets specifications will be accepted.
- c. Shotcrete Work that has previously failed to meet one or more requirements, but has been repaired to bring it into compliance will be accepted.
- d. The Contracting Officer will either accept or reject Shotcrete Work that fails to meet one or more requirements and that cannot be brought into compliance. Implement modifications to the mixture proportions or the shotcreting procedures to assure that remaining Work complies

with the requirements.

- e. The basis for acceptance or rejection of shotcrete properties is the specified compressive or flexural strength. When additional criteria, properties, or both are required in the contract documents, acceptance criteria includes compliance with those requirements.

3.10.2 Compliance with Test Properties

3.10.2.1 Compressive Strength

Consider the compressive strength adequate if the average of the three cores from a test panel or from in-place shotcrete exceeds 85 percent of the specified compressive strength and no single core is less than 75 percent of the specified compressive strength.

[3.10.2.2 Flexural Strength

The average flexural strength of a set of three test beams from one panel must equal or exceed the specified flexural strength in the paragraph titled "flexural strength and properties".

] [3.10.2.3 Boiled Absorption and Volume of Permeable Voids

The average of tests on three specimens from a test panel, or from in-place shotcrete, must be less than or equal to the specified boiled absorption and specified volume of permeable void limits at the specified test age with no single test greater than the specified boiled absorption plus 1 percent.

] [3.10.2.4 Flexural Parameters

For FRS, provide flexural parameters and toughness requirements complying with the requirements specified in the paragraph titled "flexural strength and properties".

] [3.10.2.5 Bond

The average of the bond strength of the specified number of cores must exceed the specified minimum strength requirement of the paragraph titled "Bond strength", with no single core bond strength less than 75 percent of the specified strength.

] [3.10.2.6 Alkali-silica Reactivity

Provide concrete and concrete materials meeting one of the three requirements specified in the paragraph titled "Resistance to alkali-silica reaction"

] -- End of Section --