
USACE / NAVFAC / AFCEC / NASA UFGS-01 35 13.43 10 (August 2015)

Preparing Activity: USACE

Superseding
UFGS-01240A (April 2006)

UNIFIED FACILITIES GUIDE SPECIFICATIONS

References are in agreement with UMRL dated April 2023

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Cost and Performance Report

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NOTE: This guide specification covers the requirements for preparing a cost and performance documentation report on environmental remediation projects.

Adhere to UFC 1-300-02 Unified Facilities Guide Specifications (UFGS) Format Standard when editing this guide specification or preparing new project specification sections. Edit this guide specification for project specific requirements by adding, deleting, or revising text. For bracketed items, choose applicable item(s) or insert appropriate information.

Remove information and requirements not required in respective project, whether or not brackets are present.

Comments, suggestions and recommended changes for this guide specification are welcome and should be submitted as a Criteria Change Request (CCR).

PART 1 GENERAL

NOTE: The work covered by this section will be restricted to report preparation. No design, construction, operation or maintenance requirements are included herein. The specifier or designer will include available information and data or provide appropriate references to prevent remedial action Contractor from duplicating previous efforts.

1.1 REFERENCES

NOTE: This paragraph is used to list the

publications cited in the text of the guide specification. The publications are referred to in the text by basic designation only and listed in this paragraph by organization, designation, date, and title.

Use the Reference Wizard's Check Reference feature when you add a Reference Identifier (RID) outside of the Section's Reference Article to automatically place the reference in the Reference Article. Also use the Reference Wizard's Check Reference feature to update the issue dates.

References not used in the text will automatically be deleted from this section of the project specification when you choose to reconcile references in the publish print process.

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

FEDERAL REMEDIATION TECHNOLOGIES ROUNDTABLE (FRTR)

USAEC SFIM-AEC-ET-CR-97053 (2007) Federal Remediation Technologies Screening Matrix and Reference Guide, Fourth Edition

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 1110-1-19 (2001) A Guide to Preparing and Reviewing Remedial Action Reports of Cost and Performance

ER 1110-3-1301 (2016) Engineering and Design -- Environmental Remediation and Removal Programs Cost Engineering

U.S. ENVIRONMENTAL PROTECTION AGENCY (EPA)

EPA 542-B-98-007 (1998) Guide to Documenting and Managing Cost and Performance Information for Remediation Projects

1.2 DESCRIPTION OF WORK

Work consists of the preparation of a report containing cost and performance data from the [_____] environmental remediation project. Use the [partial] [draft] [_____] [Cost and Performance Report attached to this specification] [Cost and Performance Report included in EP 1110-1-19, Appendix A] [_____] to comply with this specification.

1.2.1 Report Format

Prepare the report in accordance with EPA 542-B-98-007. Present the report as follows: 1) Word processing format: MS Word, [12] [_____] point font size, typeface. 2) Page layout: 215 x 280 mm 8-1/2 x 11 inch size paper; [25] [_____] mm [1] [_____] inch margins; portrait or

landscape orientation; bold headings, footnotes, page numbering. 3)
Tables and charts software: spreadsheets, groundwater modeling. 4)
Computer file: also present the document in Hypertext Mark-up Language
(HTML 2.0) saved as an ASCII file; link postscript drawings to document
text.

1.2.2 Drawing Format

Use the same format for drawings, including software, as that used in the
investigative and design phases of the project. [Provide drawingfiles in
post script [[____].ps] [[____].eps] [or] [[____].gif] format.]

1.2.3 Quality Control

Develop a project-specific quality control program to detail the
procedures for preparation of the report and for correction of
deficiencies. Arrange for conferences to coordinate the work or to
sequence related work for sensitive and complex items as needed and as
requested by the Contracting Officer.

1.3 SUBMITTALS

NOTE: Review submittal description (SD) definitions
in Section 01 33 00 SUBMITTAL PROCEDURES and edit
the following list, and corresponding submittal
items in the text, to reflect only the submittals
required for the project. The Guide Specification
technical editors have classified those items that
require Government approval, due to their complexity
or criticality, with a "G." Generally, other
submittal items can be reviewed by the Contractor's
Quality Control System. Only add a "G" to an item,
if the submittal is sufficiently important or
complex in context of the project.

For Army projects, fill in the empty brackets
following the "G" classification, with a code of up
to three characters to indicate the approving
authority. Codes for Army projects using the
Resident Management System (RMS) are: "AE" for
Architect-Engineer; "DO" for District Office
(Engineering Division or other organization in the
District Office); "AO" for Area Office; "RO" for
Resident Office; and "PO" for Project Office. Codes
following the "G" typically are not used for Navy,
Air Force, and NASA projects.

The "S" classification indicates submittals required
as proof of compliance for sustainability Guiding
Principles Validation or Third Party Certification
and as described in Section 01 33 00 SUBMITTAL
PROCEDURES.

Choose the first bracketed item for Navy, Air Force
and NASA projects, or choose the second bracketed
item for Army projects.

Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are [for Contractor Quality Control approval.][for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government.] Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Report; G[, [_____]]

[Three] [_____] copies of the report upon completion of each of the following stages: Outline, Draft Report, and Final Report. Provide the report to the [Contracting Officer] [_____]. Provide and ASCII file of the report to the [Contracting Officer] [HTRW CX] [_____].

PART 2 PRODUCTS

Not Used

PART 3 EXECUTION

3.1 REPORT

Prepare the report in accordance with EP 1110-1-19.

3.1.1 Executive Summary

The Executive Summary is a brief overview of the Cost and Performance Report. It includes [a brief description of the historical activities that generated the need for environmental restoration,] [a brief summary of the appropriate regulatory framework under which the cleanup is to occur,] [the remedial technology specified,] [the date, number and title of decision document,] [any special sequencing and scheduling milestones,] [definitions for standard terminology used in the preparation of the report,] [average characteristics of the contaminated media pre- and post-treatment,] [and] [the cost breakdown for the complete remediation].

3.1.2 Site Information

**NOTE: Site information data will be provided by
USACE and/or design Contractor.**

Information developed prior to remediation is [included] [_____].

3.1.2.1 Type of Action

State whether the cleanup to be performed is an entire site remediation or intermediate remedial project.

3.1.2.2 Period of Operation

Indicate the dates of start-up, shut-down, periods of retreatment, partial operation, inactivity and operation of the treatment system in the report.

3.1.2.3 Quantity of Material Treated During Application

Indicate the estimated quantity of material treated during the remedial or removal action. For ex-situ or in-situ treatment, determine the estimated volume of material treated as specified in the respective technical specification section and note in the report.

3.1.2.4 Performance Objectives

Bulletize the clean-up goals associated with this project.

3.1.2.5 Site Logistics/Contacts

List the addresses and telephone numbers for the [Project Manager,] [Regulatory Agency Contacts,] [and] [Vendors] involved in the cleanup activities.

3.1.3 Matrix and Contaminant Description

3.1.3.1 Matrix Identification

Report the type of matrix treated using the standard terminology contained in [EP 1110-1-19](#).

3.1.3.2 Site Geology/Stratigraphy

Describe the site soils and geology in the site geology/stratigraphy narrative. Include the areal and vertical (stratigraphy) variability in the soils, soil classifications and particle-size distributions. Include depth to groundwater, depth to bedrock, and thickness of overburden soil.

3.1.3.3 Contaminant Characterization

Identify the primary contaminant and the extent of vertical and areal contamination in this section. Note other contaminants which may affect treatment.

3.1.3.4 Contaminant Properties

Report the properties of contaminants present at the remediation site as a summary of the results from the Sampling and Analysis Plan.

3.1.3.5 Nature and Extent of the Contaminants

Describe the of location, nature, and extent of contamination by text and/or appropriate contract drawings.

3.1.3.6 Matrix Characteristics Affecting Treatment Cost or Performance

Identify the measurement procedure used for each parameter. Provide the measurement, the procedure to obtain the measurement, and the effect on cost and performance for each parameter as shown in Tables 1 and 3 of [EP 1110-1-19](#).

3.1.4 Treatment System Description

Describe treatment technologies using terminology from [USAEC SFIM-AEC-ET-CR-97053](#) and [EPA 542-B-98-007](#) or other approved similar terminology in areas where those documents are incomplete.

3.1.4.1 Primary Treatment Technology Types

List primary treatment technology types for each contaminant matrix using standard terminology and the listing of primary treatment technologies in [EP 1110-1-19](#).

3.1.4.2 Supplemental Treatment Technology Types

List Pre-treatment and Post-treatment technology types for each matrix using standard terminology and the listing of supplemental treatment technologies in [EP 1110-1-19](#).

3.1.4.3 Time Line

Provide a tabular or Gantt chart form specifying the major tasks associated with the remediation. Include key milestones such as treatability testing; design completion; site preparation; site mobilization; excavation; treatment start date; adjustment dates; submittal dates, and demobilization. Initiate the time line at the onset of remedial investigations and terminate at completion of demobilization from the site. Designate the projects critical path on the time line.

3.1.4.4 Treatment System Operation

Provide a completion Process Flow Diagram in the report and include an overall schematic of the treatment system and each treatment unit process. Also include personnel requirements for operating the system, the approach used to operate the system over the course of the remediation, and the health and safety requirements including level of personal protective equipment required in the description of system operations.

3.1.4.5 Operating Parameters Affecting Treatment Cost or Performance

Provide a table presenting the major operating parameters affecting cost and performance for the primary treatment technologies and the values measured for each parameter. Include site-specific items such as number of samples, number of wells, and other specific parameters that may affect the cost of operation in the report in accordance with Table 4 of [EP 1110-1-19](#).

3.1.5 Treatment System Performance

3.1.5.1 Treatment Performance Data

Report the pre-treatment and post-treatment contaminant concentrations in the soil or groundwater. Present the number and type of samples collected, management or reduction of sampling results, and the method number of the laboratory analysis in a table. For in-situ technologies, provide information for separate locations using cross-referenced site plans and tables. Present analytical results in tabular format using the following conventions for reporting data: mass/volume for contaminant levels in off-gas; mass/mass for solids; mass/volume for contaminant levels in water, and ND (DL) with footnote saying: not detected at levels above the detection limit (reported laboratory detection limit shown in parentheses).

3.1.5.2 Data Assessment and Deviations from Standard Performance

Describe the available performance data and discuss in terms of whether cleanup goals were met and whether treatment performance varied during the course of the remediation. Include an evaluation of the performance of the treatment system in the report. Include the information contained in the following paragraphs.

3.1.5.3 Material Balances

Perform material balances around the treatment unit; link the data to specific operating conditions. State whether balances are required for a specific process unit, the complete train of processes or both.

3.1.5.4 Target Contaminant and Operating Conditions

Match target contamination concentrations prior to treatment with concentrations in treated material. Link these data to specific operating conditions.

3.1.5.5 Target Contaminant and Removal Efficiencies

compare target contaminant concentrations prior to treatment with concentrations in treated material, to determine removal efficiencies and average concentrations.

3.1.5.6 Characteristics of Treated Material

Assess the physical and chemical state of the treated material using methods appropriate for the material.

3.1.6 Performance Data Quality

Provide an overall assessment of the quality control of the available performance data in the narrative. A brief description of the Quality Assurance Project Plan (QAPP) for the remediation effort must include how checks were made on the sample analysis and interpretation, and a discussion of the use of statistics in sampling program design and data interpretation.

3.1.7 Treatment System Cost

Use the work breakdown structure specified in [ER 1110-3-1301](#) to the third (subsystem) level, in conjunction with the standard descriptions, to document costs for activities directly attributed to the treatment system; however, utilizing lower levels for each work breakdown structure is optional. Use the third (subsystem) level of detail for capturing the primary treatment technology costs. Identify documentation of costs for before treatment activities separately in the appropriate third-level remedial action work breakdown structure categories, i.e. Monitoring, Sampling, Testing, and Analysis. Separately identify post construction operation and maintenance using the O&M work breakdown structure. Identify unit costs and number of units for each cost element in the documentation, as specified in [ER 1110-3-1301](#). Show cost for activities directly attributed to the treatment as a total cost and as a calculated cost on a per unit of media treated basis, and on a per unit of contaminant removed basis, as indicated. The second (system) and the third (subsystem) level cost elements for activities directly associated with the project are shown in the same referenced documents.

3.1.7.1 HTRW - Remedial Action Work Breakdown Structure

Appropriately allocate invoices for materials, labor, supplies, services, and other costs. Allocate these costs to pretreatment, treatment, and post-treatment activities. These cost allocations must include the sub-breakdown of cost elements. Further allocate costs between capital and operating costs.

3.1.7.2 Pre-Treatment Costs

Include preparation costs in the reported pre-treatment costs (i.e. Sampling Plans, Treatability Plans, [____]) management and other distributive costs, mobilization, sampling and analysis, site work, excavation, [____].

3.1.7.3 Costs Directly Associated with Treatment

Include solids, liquid, vapor preparation and handling; mobilization, spill control, testing, permits, training, and O&M costs in the costs directly associated with the treatment.

3.1.7.4 Post-Treatment Costs

The post-treatment costs include decontamination and decommissioning, disposal, site restoration, and demobilization.

3.1.8 Regulatory/Institutional Issues

List approvals, licenses and permits required for remediation along with the direct cost and time lines associated with obtaining them.

3.1.9 Observations and Lessons Learned

**NOTE: Delete any of the following paragraphs when
not applicable.**

3.1.9.1 Cost Observations and Lessons Learned

Summarize observations or lessons learned concerning cost for each treatment system. Consider key factors that affected project costs, and major items that caused final costs to differ from initial bid. Issues to be discussed include change orders, reclarifications, liquidated damages, variations in quantities, and unforeseen conditions. Include recommendations for cost savings in future procurements of each treatment technology in the narrative.

3.1.9.2 Performance Observations and Lessons Learned

Summarize observations or lessons learned concerning performance of each treatment system for this contract. Consider key factors that caused performance variations from contract requirements/cleanup standards. Describe lessons learned from scaling-up treatability studies to full-scale activities. Discuss the accuracy of such treatability studies in predicting the full-scale application cost and performance. Also discuss recommendations for improved performance in future applications, including information from each treatment vendor.

3.1.9.3 Other Observations and Lessons Learned

Summarize observations or lessons learned from each treatment unit not directly related to cost or performance.

-- End of Section --