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USACE / NAVFAC / AFCEC UFGS-02 81 00 (November 2018)

Preparing Activity: USACE

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Superseding  
UFGS-02 81 00 (February 2010)

## UNIFIED FACILITIES GUIDE SPECIFICATIONS

References are in agreement with UMRL dated January 2024

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### SECTION 02 81 00

#### TRANSPORTATION AND DISPOSAL OF HAZARDOUS MATERIALS 11/18

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NOTE: This guide specification covers the requirements for transportation and disposal of hazardous material.

Adhere to [UFC 1-300-02](#) Unified Facilities Guide Specifications (UFGS) Format Standard when editing this guide specification or preparing new project specification sections. Edit this guide specification for project specific requirements by adding, deleting, or revising text. For bracketed items, choose applicable item(s) or insert appropriate information.

Remove information and requirements not required in respective project, whether or not brackets are present.

Comments, suggestions and recommended changes for this guide specification are welcome and should be submitted as a [Criteria Change Request \(CCR\)](#).

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## PART 1 GENERAL

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NOTE: This specification was developed for large management contracts where there is a technical evaluation in the selection process. For small purchases, portions of this specification may be applicable, but should be closely considered. This specification should be used in conjunction with the separate asbestos and PCB management specifications when work involves these hazardous materials.

For other than remedial action, corrective action, or disposal of ammunition contract, add DFAR clause 252.223.-7005

If work does not involve hazardous wastes,

submittals regarding the hazardous waste management plan, the EPA Biennial and State Annual Reports, exceptions reports, and records of inspection may be removed by the designer.

If work does not involve hazardous wastes, PCB waste, or asbestos containing waste, certificates of disposal may be removed by the designer.

Regarding pre-established spill reporting procedures, the designer should consult CEMP-RT memorandum of 20 July 1995, Subject: Spill Reporting Procedures for USACE Personnel Involved in HTRW Projects or updated memorandum scheduled to be available by Jan 2004.

Security planning requirements (49 CFR 172) contain responsibilities for both the offeror of the hazardous material as well as the transporter. Thus both the Government and Contractor have responsibilities for security planning that should be coordinated in the development of specific contract specifications. Implementation guidance is under development. The Government will NOT request development of, review, or approve the Contractors' security plans. This is a legal requirements placed upon hazmat employers and transporters by DOT. The Government will require the Contractor to certify to the Government that either a security plan is in place or to document exemption from the security plan requirement. In developing this specification, Designers should determine whether this specification requires modification to address Agency specific requirements for pre-transportation security requirements in addition to transportation related security requirements.

Regarding security plan certifications, for USACE the intent is to obtain a Contractor certification for pre-transportation activities as well as a separate certification from the initial transporter. Other agencies may take a different approach to implementing security planning requirements and specification should be modified accordingly.

USACE projects require certificates of disposal for all hazardous waste, CERCLA remediation wastes, PCBs, radionuclide containing waste, and asbestos as per Engineering Pamphlet 415-1-266.

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## 1.1 REFERENCES

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NOTE: This paragraph is used to list the publications cited in the text of the guide specification. The publications are referred to in the text by basic designation only and listed in

this paragraph by organization, designation, date,  
and title.

Use the Reference Wizard's Check Reference feature  
when you add a Reference Identifier (RID) outside of  
the Section's Reference Article to automatically  
place the reference in the Reference Article. Also  
use the Reference Wizard's Check Reference feature  
to update the issue dates.

References not used in the text will automatically  
be deleted from this section of the project  
specification when you choose to reconcile  
references in the publish print process.

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The publications listed below form a part of this specification to the  
extent referenced. The publications are referred to within the text by  
the basic designation only.

INTERNATIONAL AIR TRANSPORT ASSOCIATION (IATA)

IATA DGR (2018) Dangerous Goods Regulations

U.S. ARMY CORPS OF ENGINEERS (USACE)

EP 415-1-266 (2000) Construction -- Resident Engineer  
Management Guide (REMG) for Hazardous,  
Toxic, and Radioactive Waste (HTRW)  
Projects

U.S. DEPARTMENT OF TRANSPORTATION (DOT)

DOT 4500.9R Defense Transportation Regulation, Part 2,  
Cargo Movement, Chapter 204, Hazardous  
Material

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 61 National Emission Standards for Hazardous  
Air Pollutants

40 CFR 261 Identification and Listing of Hazardous  
Waste

40 CFR 262 Standards Applicable to Generators of  
Hazardous Waste

40 CFR 263 Standards Applicable to Transporters of  
Hazardous Waste

40 CFR 264 Standards for Owners and Operators of  
Hazardous Waste Treatment, Storage, and  
Disposal Facilities

40 CFR 265 Interim Status Standards for Owners and  
Operators of Hazardous Waste Treatment,  
Storage, and Disposal Facilities

40 CFR 266	Standards for the Management of Specific Hazardous Wastes and Specific Types of Hazardous Waste Management Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 270	EPA Administered Permit Programs: The Hazardous Waste Permit Program
40 CFR 279	Standards for the Management of Used Oil
40 CFR 300	National Oil and Hazardous Substances Pollution Contingency Plan
40 CFR 302	Designation, Reportable Quantities, and Notification
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and Use Prohibitions
49 CFR 107	Hazardous Materials Program Procedures
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information, and Training Requirements
49 CFR 173	Shippers - General Requirements for Shipments and Packagings
49 CFR 178	Specifications for Packagings

## 1.2 DEFINITIONS

### 1.2.1 Hazardous Material

A substance or material which has been determined by the Secretary of Transportation to be capable of posing an unreasonable risk to health, safety, and property when transported in commerce, and which has been so designated pursuant to the Hazardous Materials Transportation Act, 49 U.S.C. Appendix Section 1801 et seq. The term includes materials designated as hazardous materials under the provisions of 49 CFR 172, Sections .101 and .102 and materials which meet the defining criteria for hazard classes and divisions in 49 CFR 173. EPA designated hazardous wastes are also hazardous materials.

### 1.2.2 Hazardous Waste

A waste which meets criteria established in RCRA or specified by the EPA in 40 CFR 261 or which has been designated as hazardous by a RCRA authorized state program.

## 1.3 SUBMITTALS

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**NOTE: Review submittal description (SD) definitions in Section 01 33 00 SUBMITTAL PROCEDURES and edit the following list, and corresponding submittal**

items in the text, to reflect only the submittals required for the project. The Guide Specification technical editors have classified those items that require Government approval, due to their complexity or criticality, with a "G." Generally, other submittal items can be reviewed by the Contractor's Quality Control System. Only add a "G" to an item, if the submittal is sufficiently important or complex in context of the project.

For Army projects, fill in the empty brackets following the "G" classification, with a code of up to three characters to indicate the approving authority. Codes for Army projects using the Resident Management System (RMS) are: "AE" for Architect-Engineer; "DO" for District Office (Engineering Division or other organization in the District Office); "AO" for Area Office; "RO" for Resident Office; and "PO" for Project Office. Codes following the "G" typically are not used for Navy and Air Force projects.

The "S" classification indicates submittals required as proof of compliance for sustainability Guiding Principles Validation or Third Party Certification and as described in Section 01 33 00 SUBMITTAL PROCEDURES.

Choose the first bracketed item for Navy and Air Force projects, or choose the second bracketed item for Army projects.

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Government approval is required for submittals with a "G" or "S" classification. Submittals not having a "G" or "S" classification are [for Contractor Quality Control approval.][for information only. When used, a code following the "G" classification identifies the office that will review the submittal for the Government.] Submit the following in accordance with Section 01 33 00 SUBMITTAL PROCEDURES:

#### SD-03 Product Data

##### Packaging Notifications

Hazardous Waste Management Plan; G[, [\_\_\_\_\_]]

Onsite Hazardous Waste Management; G[, [\_\_\_\_\_]]

Notices of Non-Compliance and Notices of Violation

#### SD-06 Test Reports

Recordkeeping; G[, [\_\_\_\_\_]]

Exception Report; G[, [\_\_\_\_\_]]

Spill Response

#### SD-07 Certificates

Transportation and Disposal Coordinator; G[, [\_\_\_\_]]

Training; G[, [\_\_\_\_]]

Certification

Shipping Documents and Packagings Certification; G[, [\_\_\_\_]]

Security Plan

Certificates of Disposal

Waste Minimization

#### 1.4 QUALITY ASSURANCE

##### 1.4.1 Transportation and Disposal Coordinator

Designate, by position and title, one person to act as the Transportation and Disposal Coordinator (TDC) for this contract. The TDC must serve as the single point of contact for all environmental regulatory matters and have overall responsibility for total environmental compliance at the site including, but not limited to, accurate identification and classification of hazardous waste and hazardous materials; determination of proper shipping names; identification of marking, labeling, packaging and placarding requirements; completion of waste profiles, hazardous waste manifests, asbestos waste shipment records, PCB manifests, bill of lading, exception and discrepancy reports; and all other environmental documentation. The TDC must have, at a minimum, one year of specialized experience in the management and transportation of hazardous waste and have been Department of Transportation certified under 49 CFR 172, Subpart H.

##### 1.4.2 Training

Hazardous materials employees must be trained, tested, and certified to safely and effectively carry out their assigned duties in accordance with [Section 01 35 29.13 HEALTH, SAFETY, AND EMERGENCY RESPONSE PROCEDURES FOR CONTAMINATED SITES] [\_\_\_\_]. Employees transporting hazardous materials or preparing hazardous materials for transportation, including samples, must be trained, tested, and certified in accordance with 49 CFR 172, Subpart H, including security awareness and any applicable security plans. Hazardous material employees must also be trained in accordance with IATA DGR when shipping hazardous materials by air. Employees must be trained, tested, and certified in accordance with 49 CFR 172, Subpart H to determine that shipments do not constitute DOT regulated hazardous materials.

##### 1.4.3 Certification

The hazardous materials transporter must possess a current certificate of registration issued by the Research and Special Programs Administration (RSPA), U.S. Department of Transportation, when required by 49 CFR 107, Subpart G. Submit copies of the certificates or written statements certifying exemption from these requirements.



#### 1.4.4 Laws and Regulations Requirements

Comply with Federal, state, and local laws and regulations which are applicable. These requirements are amended frequently and compliance with amendments is required as they become effective. Notify the Contracting Officer immediately if compliance exceeds the scope of work or conflicts with specific requirements of the contract.

## PART 2 PRODUCTS

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NOTE: For US Army Corps of Engineer (USACE)  
Projects involving shipments containing radioactive  
nuclides, additional products such as special  
marking may apply. See USACE Engineering Pamphlet  
415-1-266, Chapter 7, Resident Engineer Management  
Guide for Hazardous, Toxic, and Radioactive Waste  
Projects and Engineering Manual 1110-35-1,  
Management Guidelines for Working with Radioactive  
and Mixed Waste.  
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### 2.1 MATERIALS

Provide all the materials required for the packaging, labeling, marking, placarding and transportation of hazardous wastes and hazardous materials in conformance with Department of Transportation standards[ and ][IATA DGR][ and ][EP 415-1-266][\_\_\_\_\_]. Details in this specification must not be construed as establishing the limits of the Contractor's responsibility.

#### 2.1.1 Packagings

Provide [bulk][non-bulk][bulk and non-bulk] containers for packaging hazardous materials/wastes consistent with the authorizations referenced in the Hazardous Materials Table in 49 CFR 172, Section .101, Column 8. Bulk and non-bulk packaging must meet the corresponding specifications in 49 CFR 173 referenced in the Hazardous Materials Table, 49 CFR 172, Section .101. Packaging must conform to the general packaging requirements of Subpart B of 49 CFR 173, to the requirements of 49 CFR 178 at the specified packing group performance level, to the requirements of special provisions of column 7 of the Hazardous Materials Table in 49 CFR 172, Section .101, and be compatible with the material to be packaged as required by 40 CFR 262. Also provide other packaging related materials such as materials used to cushion or fill voids in overpacked containers. The hazardous materials being packaged must not react dangerously with, decompose or ignite the sorbent packaging materials. Additionally, sorbents used to treat free liquids to be disposed of in landfills must be non-biodegradable as specified in 40 CFR 264, Section .314. In addition, packaging notifications will be provided to the Government in accordance with 49 CFR 172, Section .178.2(c) regarding type and dimensions of closures, including gaskets, needed to satisfy performance test requirements.

#### 2.1.2 Markings

Provide markings for each hazardous material/waste package, freight container, and transport vehicle consistent with the requirements of 49 CFR 172, Subpart D and [40 CFR 262, Section .32 (for hazardous waste)][40 CFR 761, Section .45 (for PCBs)][40 CFR 61, Section .149(d) (for

asbestos)][EP 415-1-266 (for FUSRAP radionuclides)]. Markings must withstand a 180 day exposure to conditions reasonably expected to be encountered during container storage and transportation, without deterioration or substantial color change.

#### 2.1.3 Labeling

Provide primary and subsidiary labels for hazardous materials/wastes consistent with the requirements in the Hazardous Materials Table in 49 CFR 172, Section .101, Column 6. Labels must meet design specifications required by 49 CFR 172, Subpart E including size, shape, color, printing, and symbol requirements. Labels must be durable weather resistant and withstand a 180 day exposure to conditions reasonably expected to be encountered during container storage and transportation, without deterioration or substantial color change.

#### 2.1.4 Placards

For each offsite shipment of hazardous material/waste, provide primary and subsidiary placards consistent with the requirements of 49 CFR 172, Subpart F. Provide placards for each side and each end of bulk packaging, freight containers, transport vehicles, and rail cars requiring such placarding. Placards may be plastic, metal, or other material capable of withstanding, without deterioration, a 30 day exposure to open weather conditions and must meet design requirements specified in 49 CFR 172, Subpart F.

#### 2.1.5 Spill Response Materials

Provide spill response materials including, but not limited to, containers, adsorbent, shovels, and personal protective equipment. Spill response materials must be available at all times when hazardous materials/wastes are being handled or transported. Spill response materials must be compatible with the type of material being handled.

### 2.2 EQUIPMENT AND TOOLS

Provide miscellaneous equipment and tools necessary to handle hazardous materials and hazardous wastes in a safe and environmentally sound manner.

## PART 3 EXECUTION

### 3.1 HAZARDOUS WASTE MANAGEMENT PLAN

Prepare a Hazardous Waste Management Plan detailing the manner in which hazardous wastes will be managed and describing the types and volumes of hazardous wastes anticipated to be managed. The plan must address both onsite and offsite hazardous waste management. Describe the methods to be used to ensure accurate piece counts or weights of shipments; describe waste minimization methods; identify and describe facilities to be used for treatment, storage, and disposal (TSD); identify areas onsite where hazardous wastes are to be handled; and identify whether transfer facilities are to be used; and if so, how the wastes will be tracked to ultimate disposal. Submit the plan to the Contracting Officer for approval prior to start of work. Submit written documentation of weekly hazardous waste inspections on a [monthly][quarterly][\_\_\_\_\_] basis.

### 3.2 ONSITE HAZARDOUS WASTE MANAGEMENT

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NOTE: When work on a site is being performed pursuant to the authorities of CERCLA, it may be eligible for the permit waiver of CERCLA Section 121(e) such that accumulation time restrictions or other requirements may not be applicable. In that case the designer, with assistance from agency counsel as necessary, should determine whether this paragraph requires revision accordingly.  
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Coordinate the onsite management of all hazardous materials and waste with the installation environmental function and the Contracting Officer. These paragraphs apply to Government owned waste only. The Contractor is responsible for ensuring compliance with Federal, state, and local hazardous waste laws and regulations and verifying those requirements when preparing reports, waste shipment records, hazardous waste manifests, or other documents. Identify hazardous wastes using criteria set forth in 40 CFR 261 or applicable state and local laws, regulations, and ordinances. Comply with generator requirements in [40 CFR 262][ and ][applicable state or local law or regulations] when accumulating hazardous waste onsite. Onsite accumulation times must be restricted to applicable time frames referenced in [40 CFR 262, Section .34][ and ][applicable state or local law or regulation]. Accumulation start dates commence when waste container is transferred into a 90 day accumulation site or permitted storage facility. Only use containers in good condition and compatible with the waste to be stored. Ensure containers are closed except when adding or removing waste, and immediately mark all hazardous waste containers with the words "hazardous waste" and other information required by [40 CFR 262, Section .32][ and ][applicable state or local law or regulation] as soon as the waste is containerized. An additional marking must be placed on containers of "unknowns" designating the date sampled, and the suspected hazard. Inspect containers for signs of deterioration and for responding to any spills or leaks. Inspect all hazardous waste areas weekly and provide written documentation of the inspection. Include date and time of inspection, name of individual conducting the inspection, problems noted, and corrective actions taken on the inspection logs.

#### 3.2.1 Hazardous Waste Classification

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NOTE: If insufficient information exists to make a waste classification determination, the designer should develop contract clauses to provide for additional analysis.  
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Identify, in consultation with the [Contracting Officer][waste generator][\_\_\_\_], all waste codes applicable to each hazardous waste stream based on requirements in 40 CFR 261 or applicable state or local law or regulation. Also identify applicable treatment standards in 40 CFR 268 and state land disposal restrictions and make a determination as to whether or not the waste meets or exceeds the standards. Submit waste profiles, analyses, classification and treatment standards information to Contracting Officer for review and approval.

### 3.3 OFFSITE HAZARDOUS WASTE MANAGEMENT

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**NOTE:** For US Army Corps of Engineer (USACE) Projects involving shipments containing radioactive nuclides, additional management requirements may apply. Designers should refer to USACE EP 415-1-266, Chapter 7, Resident Engineer Management Guide for Hazardous, Toxic, and Radioactive Waste Projects and EM 1110-35-1, Management Guidelines for Working with Radioactive and Mixed Waste. For example, a pink mark stating "Warning: Empty only at (destination)" is required.

Designers should review new manifest requirements as they become available to ensure this specification remains consistency with regulatory changes.

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Coordinate the off site transfer of all hazardous materials and waste with the installation environmental function and the Contracting Officer. Use RCRA Subtitle C permitted facilities which meet the requirements of 40 CFR 264 or facilities operating under interim status which meet the requirements of 40 CFR 265. Do not use offsite treatment, storage, and disposal facilities with significant RCRA violations or compliance problems (such as facilities known to be releasing hazardous constituents into ground water, surface water, soil, or air). Submit Notices of Non-Compliance and Notices of Violation by a Federal, state, or local regulatory agency issued to the Contractor in relation to any work performed under this contract. Immediately provide copies of such notices to the Contracting Officer. Also furnish relevant documents regarding the incident and any information requested by the Contracting Officer, and coordinate its response to the notice with the Contracting Officer or the designated representative prior to submission to the notifying authority. Also furnish a copy to the Contracting Officer of all documents submitted to the regulatory authority, including the final reply to the notice, and all other materials, until the matter is resolved.

#### 3.3.1 Treatment, Storage, and Disposal Facility and Transporter

Provide the Contracting Officer with EPA ID numbers, names, locations, and telephone numbers of TSD facilities and transporters. This information must be contained in the Hazardous Waste Management Plan and be approved by the Contracting Officer prior to waste disposal.

#### 3.3.2 Facility Status Information

Facilities receiving hazardous waste must be permitted in accordance with 40 CFR 270 or operating under interim status in accordance with 40 CFR 265 requirements, or permitted by a state authorized by the Environmental Protection Agency to administer the RCRA permit program. Additionally, prior to using a TSD Facility, contact the EPA Regional Offsite Coordinator specified in 40 CFR 300, Section .440, to determine the facility's status, and document all information necessary to satisfy the requirements of the EPA Offsite policy and submit this information to the Contracting Officer in the Hazardous Waste Management Plan.

### 3.3.3 Shipping Documents and Packagings Certification

Prior to shipment of any hazardous material offsite and a minimum of [14] [\_\_\_\_\_] days prior to anticipated pickup, provide for review written certification to the Contracting Officer that hazardous materials have been properly packaged, labeled, and marked in accordance with Department of Transportation and EPA requirements. Furnish designated disposal facility packaging assurances not later than 35 days after acceptance of the shipment. The Contractor's TDC must also provide written certification regarding waste minimization efforts documenting that efforts have been taken to reduce the volume and toxicity of waste to the degree economically practicable and that the method of treatment, storage, or disposal selected minimizes threats to human health and the environment.

### 3.3.4 Transportation

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**NOTE:** When the additional cost of sending a qualified government representative to a remote location to sign a manifest for a small clean up project is unwarranted, the option of requiring the onsite Contractor to sign the manifests on behalf of the generator is permitted and should be considered. This option may only be exercised on a project specific basis, if prior to the solicitation process, written authorization of the customer and approval of the Chief, Construction Division at the executing district has been obtained, and the technical provisions of the contract solicitation provide competing Contractors notice of the requirement.  
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Prior to conducting hazardous materials activities, the Contractor responsible for pre-transportation activities must either certify to the Government that a [Security Plan](#) is in place which meets the requirements of [49 CFR 172](#), Subpart I or in the event that the types or amounts of hazardous materials are excluded from the security planning requirements, a written statement to that effect detailing the basis for the exception. Use manifests for transporting hazardous wastes as required by [40 CFR 263](#) or applicable state or local law or regulation. Transportation must comply with all requirements in the Department of Transportation referenced regulations in the 49 CFR series. Prepare hazardous waste manifests for each shipment of hazardous waste shipped offsite. Complete manifests using instructions in [40 CFR 262](#), Subpart B and applicable state or local law or regulation. Submit manifests and waste profiles to Contracting Officer for review and approval. Prepare land disposal restriction notifications as required by [40 CFR 268](#) or applicable state or local law or regulation for each shipment of hazardous waste. Submit notifications with the manifest to the Contracting Officer for review and approval. [In accordance with [DOT 4500.9R](#), inspect motor vehicles used to transport hazardous materials in accordance the 49 CFR and DOT safety regulations and complete DDForm 626, Motor Vehicle Inspection][\_\_\_\_\_].

### 3.3.5 Treatment and Disposal of Hazardous Wastes

Coordinate any off site shipments of hazardous materials or hazardous wastes with the installation environmental function. Initial, or satellite hazardous waste accumulation is limited to 55 gallons (or 1

quart of acutely hazardous waste). Once a waste stream exceeds 55 gallons, it must be transferred to an on-site 90 day (180 day small quantity generator) accumulation area, or a permitted hazardous waste treatment, storage or disposal facility within three days. Ship hazardous wastes only to facilities which are properly permitted to accept the hazardous waste or operating under interim status. Ensure wastes are treated to meet land disposal treatment standards in 40 CFR 268 prior to land disposal. Propose TSD facilities via submission of the Hazardous Waste Management Plan, subject to the approval of the Contracting Officer. Submit Certificates of Disposal documenting the ultimate disposal, destruction or placement of [hazardous wastes,] [CERCLA remediation waste,] [polychlorinated biphenyls (PCBs),] [\_\_\_\_\_] and [asbestos] within [180][\_\_\_\_\_] days of initial shipment. Receipt of these certificates will be required for final payment.

### 3.4 RADIOACTIVE MATERIALS MANAGEMENT

\*\*\*\*\*  
NOTE: For US Army Corps of Engineer (USACE)  
Projects involving shipments containing radioactive  
nuclides, additional management requirements may  
apply. Designers should refer to USACE EP  
415-1-266, Chapter 7, Resident Engineer Management  
Guide for Hazardous, Toxic, and Radioactive Waste  
Projects and EM 1110-35-1, Management Guidelines for  
Working with Radioactive and Mixed Waste. For  
example, a pink mark stating "Warning: Empty only at  
(destination)" is required.  
\*\*\*\*\*

Consult with the [Contracting Officer][generator][\_\_\_\_], to evaluate, prior to shipment of any material offsite, whether the material is regulated as a hazardous waste in addition to being regulated as a radioactive material. Perform the evaluation to determine proper shipping descriptions, marking requirements, and other criteria, as described below.

#### 3.4.1 Identification of Proper Shipping Names

Use 49 CFR 172, Section .101 to identify proper shipping names for each hazardous material (including hazardous wastes) to be shipped offsite. Submit proper shipping names to the Contracting Officer in the form of draft shipping documents for review and approval.

#### 3.4.2 Packaging, Labeling, and Marking

Package, label, and mark hazardous materials/wastes using the specified materials and in accordance with the referenced authorizations. Mark each container of hazardous waste of 416 L 110 gallons or less with the following:

"HAZARDOUS WASTE - Federal Law Prohibits Improper Disposal.  
If found, contact the nearest police or public safety authority or the  
U.S. Environmental Protection Agency.  
Generator's name \_\_\_\_\_  
Manifest Document Number \_\_\_\_\_".

#### 3.4.3 Shipping Documents

Ensure that each shipment of hazardous material sent offsite is

accompanied by properly completed shipping documents. This includes shipments of samples that may potentially meet the definition of a Department of Transportation regulated hazardous material.

#### 3.4.3.1 PCB Waste Shipment Documents

Prepare hazardous waste manifests for each shipment of PCB waste shipped offsite. Complete manifests using instructions in 40 CFR 761, Sections .207 and .208 and other applicable requirements. Submit documents to Contracting Officer for review and approval.

#### 3.4.3.2 Asbestos Waste Shipment Documents

Prepare waste shipment records, as required by 40 CFR 61, for shipments of asbestos. Submit waste shipment records to the Contracting Officer for review and approval. Waste shipment records must be signed by the Contractor.

#### 3.4.3.3 Other Hazardous Material Shipment Documents

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**NOTE: The designer should determine whether bill of lading certifications will be signed by the Government or the Contractor. This determination should be based on whether the Government or the Contractor is responsible for classifying, packaging, marking, labeling, and placarding the shipment.**

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Prepare a bill of lading for each shipment of hazardous material which is not accompanied by a hazardous waste manifest or asbestos waste shipment record which fulfills the shipping paper requirements. The bill of lading must satisfy the requirements of 49 CFR 172, Subpart C, [and 40 CFR 279 if shipping used oil] and applicable state or local law or regulation, and must be submitted to the Contracting Officer for review and approval. For laboratory samples and treatability study samples, prepare bills of lading and other documentation as necessary to satisfy conditions of the sample exclusions in 40 CFR 261, Section .4(d) and (e) and any applicable state or local law or regulation. Bill of ladings requiring shipper's certifications must be signed by the [Government][Contractor].

### 3.5 SPECIAL REQUIREMENTS FOR ASBESTOS WASTES

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**NOTE: If work involves asbestos containing wastes, designer should determine whether reference to a separate asbestos specification should be added.**

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If work involves asbestos containing wastes, manage these wastes in accordance with specification Section 02 82 00 ASBESTOS REMEDIATION.

### 3.6 WASTE MINIMIZATION

Minimize the generation of hazardous waste to the maximum extent practicable and take all necessary precautions to avoid mixing clean and contaminated wastes. Identify and evaluate recycling and reclamation options as alternatives to land disposal. Requirements of 40 CFR 266

apply to: hazardous wastes recycled in a manner constituting disposal; hazardous waste burned for energy recovery; lead-acid battery recycling; and hazardous wastes with economically recoverable precious metals. Submit written certification that waste minimization efforts have been undertaken to reduce the volume and toxicity of waste to the degree economically practicable and that the method of treatment, storage, or disposal selected minimizes threats to human health and the environment.

### 3.7 RECORDKEEPING

Maintain adequate records to support information provided to the Contracting Officer regarding exception reports, annual reports, and biennial reports; maintain asbestos waste shipment records for a minimum of 3 years from the date of shipment or any longer period required by applicable law or regulation or other provision of this contract; and maintain bill of lading for a minimum of 375 days from the date of shipment or longer period required by applicable law or regulation or other provision of this contract. Submit information necessary to file state annual or EPA biennial reports for hazardous waste transported, treated, stored, or disposed of under this contract. Do not forward these data directly to the regulatory agency but to the Contracting Officer at the specified time. Submit the information necessary for filing of the formal reports in the form and format required by the governing Federal or state regulatory agency. A cover letter must accompany the data to include the contract number, Contractor name, and project location. In the event that a manifest copy documenting receipt of hazardous waste at the treatment storage and disposal facility is not received within 35 days of shipment initiation, or that a manifest copy documenting receipt of PCB waste at the designated facility is not received within 35 days of shipment initiation, prepare and submit an [exception report](#) to the Contracting Officer within 37 days of shipment initiation.

### 3.8 SPILL RESPONSE

In the event of a spill or release of a hazardous substance (as designated in [40 CFR 302](#)), or pollutant or contaminant, or oil (as governed by the Oil Pollution Act (OPA), 33 U.S.C. 2701 et seq.), notify the Contracting Officer immediately. Direction from the Contracting Officer concerning a spill or release is not considered a change under the contract. If the spill exceeds a reporting threshold, follow the pre-established procedures for immediate reporting to the Contracting Officer. Comply with applicable requirements of Federal, state, or local laws or regulations regarding any spill incident.

### 3.9 EMERGENCY CONTACTS

Comply with the emergency contact provisions in [49 CFR 172](#), Section .604. Whenever the Contractor ships hazardous materials, provide a 24 hr emergency response contact and phone number of a person knowledgeable about the hazardous materials being shipped and who has comprehensive emergency response and incident mitigation information for that material, or has immediate access to a person who possesses such knowledge and information. Monitor the phone on a 24 hour basis at all times when the hazardous materials are in transportation, including during storage incidental to transportation. Ensure that information regarding this emergency contact and phone number are placed on all hazardous material shipping documents. Designate an emergency coordinator and post the following information at areas in which hazardous wastes are managed:



- a. The name of the emergency coordinator.
- b. Phone number through which the emergency coordinator can be contacted on a 24 hour basis.
- c. The telephone number of the local fire department.
- d. The location of fire extinguishers and spill control materials.

Attachment A SAMPLE OFF-SITE POLICY CERTIFICATION MEMO	
Project/Contract #:	
Waste Stream:	
Primary TSD Facility, EPA ID # and Location:	
Alter. TSD Facility, EPA ID # and Location:	
EPA Region	Contact
I	888-372-7341
II	212-673-4040
III	800-438-2474 or 215-814-5000
IV	800-241-1754 or 404-562-9900
V	312-353-2000
VI	800-887-6063 or 214-665-2210
VII	800-223-0425
VIII	800-424-8802
IX	415-947-8713
X	800-424-4372 or 206-553-4973
EPA representative contacted:	
EPA representative phone number:	
Date contacted:	
Comment:	
The above EPA representative was contacted on _____. As of that date the above sites were considered acceptable in accordance with the Off-Site Policy in 40 CFR 300.440.	
Date:	Signature:
Phone number:	

-- End of Section --